# National Clinicians reference Outcomes guide an $\bigcirc$ Version as semix 2, 2009 Collection

# National Outcomes and Casemix Collection

# For more information

MH-CIAO Team SA Health PO Box 287 Rundle Mall Telephone: (08) 8226 7351 Fax: (08) 8226 6235 www.health.sa.gov.au

TTY calls: for people who are deaf or have hearing/speech impairments, contact (08) 8233 2574. Non-English speaking: for information in languages other than English, call the interpreting and Translating Centre and ask them to call The Department of Health. This service is available at no cost to you, contact (08) 8226 1990.

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Clinicians' reference guide Version 2 2009





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In particular, the work of Allen Morris-Yates, Jennifer Chipps, Tim Coombs, Carolyn Muir and Gavin Stewart.

For further copies, please contact:

MH-CIAO Team SA Health PO Box 287 Rundle Mall ADELAIDE SA 5000

Ph: (08) 8226 7351 Fax: (08) 8226 6235

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## Reference Materials

There are numerous documents that should be read or referred to in conjunction with the clinicians' reference quide, some of which are listed below.

#### National documents:

- > National Mental Health Plan
- > National Mental Health Policy
- National Practice Standards for the Mental Health workforce
- National Standards for Mental Health Services
- National Mental Health Information Priorities

#### Web site reference:

Australian Government, Department of Health and Ageing, National Mental Health publications, source the latest published versions of the above National documents:

http://www.health.gov.au/internet/main/publishing.nsf/Content/mental-pubs

#### State documents:

- > SA Health Strategic Plan http://in.health.sa.gov.au/policyandintergovernmentrelations/Default.aspx?tabid=168
- > Stepping Up Report
- > Stepping Up A Social Inclusion Action Plan for Mental Health Reform 2007-2012 http://www.health.sa.gov.au/mentalhealth/Default.aspx?tabid=36
- > Code of Fair Information Practice http://10.22.161.35:8080/policies/documents/Code\_of\_fair\_info\_practice.pdf
- > Mental Health Act 2009
- > The Mental Health Care Plan Information booklet
- > The Combined Regions Mental Health Assessment and Risk Assessment business rules
- > National Outcome and Casemix Collection Mental Health Protocols for South Australia

Web site reference:

SA Mental Health Intranet, follow the links for Publications and for NOCC Resources: http://in.health.sa.gov.au/operations/Default.aspx?tabid=955

#### Australian Mental Health Outcomes and Classification Network (AMHOCN)

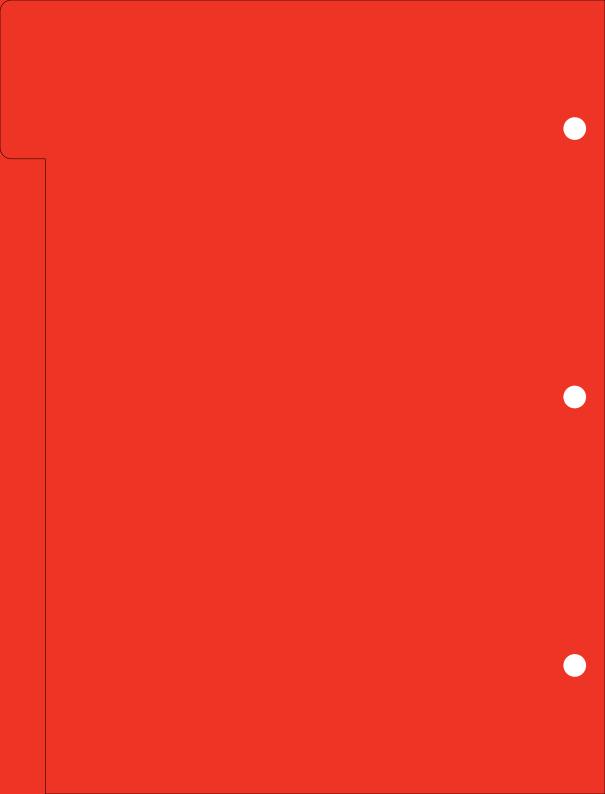
Key documents, source the latest published versions from this site, including:

- Mental Health National Outcomes and Casemix Collection Technical specification of State and Territory reporting requirements
- > Mental Health National Outcomes and Casemix Collection Overview of clinician rated and consumer self report measures and data items

### Web site reference:

AMHOCN web site, follow the links for resources: http://www.mhnocc.org/

# The Outcome Measures



# 1 The Outcome Measures

Outcome Measures and Rating Periods provides an overview of the outcome measures selected for introduction into routine clinical practice and the standard rating periods of the individual measures.

# **Outcome Measures and Rating Periods**

Outcome measure	Usual rating period	Exceptions
K10+	Previous 4 Weeks	No exceptions
HoNOS / HoNOS 65+	Previous 2 weeks	3 days at Discharge from Inpatient psychiatric care
LSP	Previous 3 months (91 days) or the Preceding period	No exceptions
RUG-ADL	Current status	No exceptions
HoNOSCA	Previous 2 weeks	3 days at Discharge from Inpatient psychiatric care
CGAS	Previous 2 weeks	No exceptions
FIHS	Preceding period	No exceptions
SDQ	Last month	On admission to service – Last 6 months
Focus of Care	Preceding period	No exceptions

# The Outcome Measures

Descriptions of Outcome Measures provides a brief description of each of the outcome measures.

# **Descriptions of Outcome Measures**

Measures for Consumers of Child and Adolescent Mental Health Services							
HoNOS for Children & Adolescents (HoNOSCA)	Child and Adolescent equivalent to the HoNOS. Contains 15 items.						
Children's' Global Assessment Scale (CGAS)	A single global measure of level of functioning, rated 0-100 in order of improved functioning.						
ICD-10 Factors Influencing Health Status (FIHS)	Checklist of 'psychosocial complications' based on ICD–10 Factors Influencing Health Status						
Strengths and Difficulties Questionnaire (SDQ)	A parent and child completed brief behavioural questionnaire administered on admission and follow up to health services for 4-17 year olds. It includes 25 items on psychological attributes for 5 scales: Emotional symptoms, conduct problems, hyperactivity/inattention, peer relationship problems, and psychosocial behaviour. It also includes items on the impact of these attributes.						
Measures for Consumers of Adult Mental Health Services							
Health of the Nation Outcome Scales (HoNOS)	An instrument developed in the United Kingdom for use by clinicians in their routine clinical work to measure consumer outcomes. Contains 12 items, across four outcome domains: Behaviour, Impairment, Symptoms and Social.						
Life Skills Profile (LSP)	A clinician completed rating scale designed to assess abilities with respect to basic life skills over the preceding three months. Any of three versions of the LSP may be used, with 39, 20 or 16 items respectively.						
The Kessler 10+ (K10+)	The Kessler 10 (K10) is a psychological distress measure with four additional items. A consumer-completed 10 item questionnaire designed to measure psychological distress. The recommended instrument also includes an additional 4 items rating impact and contribution of physical health problems.						

Measures for Consumers of Older Persons Mental Health Services							
Health of the Nation Outcome Scales for Older People (HoNOS 65+)	A modified version of the HoNOS for people 65+						
Life Skills Profile (LSP)	A clinician completed rating scale designed to assess abilities with respect to basic life skills over the preceding three months.						
Resource Utilisation Groups- Activities Daily Living Scale (RUG–ADL)	An instrument for the measurement of nursing dependency in skilled nursing facilities. Measure the patient's needs for assistance in activities of daily living. (Eating, bed mobility, transferring and toileting).						
The Kessler 10+ (K10+)	The Kessler 10 (K10) is a psychological distress measure with 4 additional items. A consumer-completed 10 item questionnaire designed to measure psychological distress. The recommended instrument also includes an additional 4 items rating impact and contribution of physical health problems.						

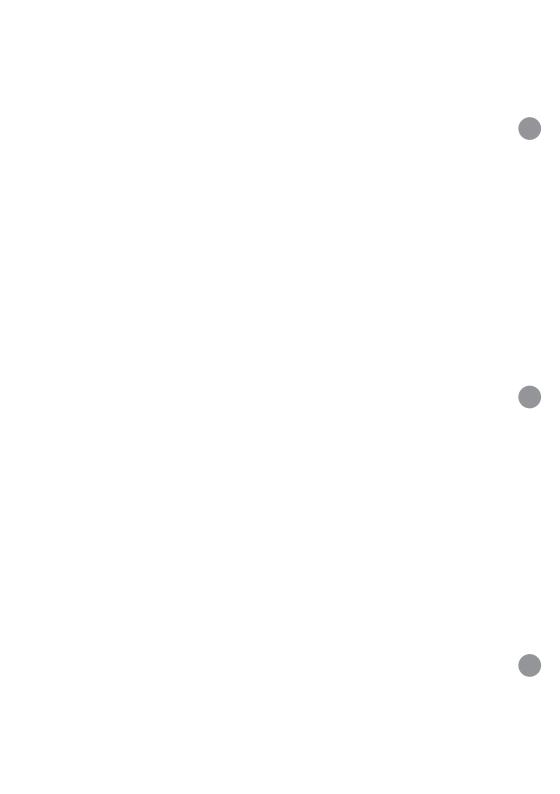
# The Outcome Measures

Copyright notices for outcome measures outlines the copyright for the outcome measures.

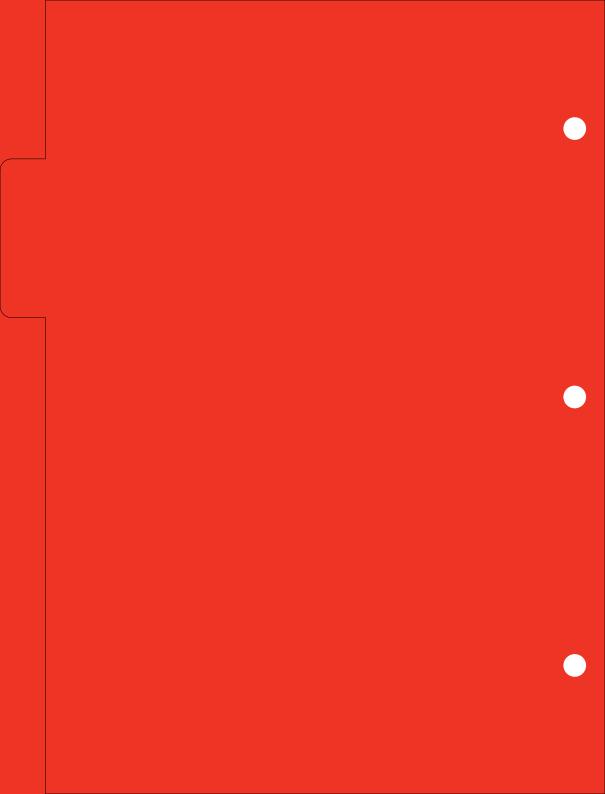
# Copyright notices for outcome measures

Standardised Measure	Copyright Notice			
Health of the Nation Outcome Scales	The UK Department of Health and Her Majesty's Stationary Office has advised the Commonwealth that:			
(HoNOS) – adult, child and elderly persons versions	"HoNOS may be reused in any format, free of charge under HMSO's new 'Click Use Licence' http://www.clickanduse.hmso.gov.uk			
persons versions	Additionally, the principal authors of the HoNOS, HoNOSCA and HoNOS65+ have advised the Commonwealth that the instruments are in the public domain and may be used free of cost.			
Life Skills Profile	Copyright on this instrument is held by the authors (Rosen A, Parker G, Hadzi-Pavlovic D) who have advised the Commonwealth as follows:			
	"The authors of the LSP are pleased to give permission for the unlimited use of the LSP-16 to all mental health services in Australia, both public and private, for routine use, without cost."			
Focus of Care	The Commonwealth holds copyright on this measure and grants permission for its use subject to the inclusion of an acknowledgment of the source and no commercial usage or sale.			
Resource Utilisation Groups – Activities	The measure is used widely throughout the Australian Public Sector with no license costs attached. Usage should acknowledge the source:			
of Daily Living (RUG-ADL)	Fries BE, Schneider DP et al (1994). Refining a casemix measure for nursing homes. Resource Utilisation Groups (RUG-III). Medical Care, 32, 668-685.			

The Kessler 10+ (K10+)	Usage should acknowledge the following:  "Professor Ronald C Kessler of the Department of Health Care Policy, Harvard Medical School is thanked for the use of research on the K10 funded by US Public Health Service Grants RO1 MH46376, R01 MH52861, RO1 MH49098, and K05 MH00507 and by the John D and Catherine T MacArthur Foundation Network on Successful Midlife Development (Gilbert Brim, Director)."			
Children's Global Assessment Scale (CGAS)	Research instrument, used widely throughout child and adolescent mental health services. Usage should acknowledge the source:  Schaffer D, Gould MS, Brasic J et al (1983). A Children's Global Assessment Scale (CGAS). Archives of General Psychiatry, 40, 1228-1231.			
ICD-10 Factors Influencing Health Status (FIHS)  The Commonwealth holds copyright on this measure and good permission for its use subject to the inclusion of an acknown of the source and no commercial usage or sale.				
Strengths and Difficulties Questionnaire (SDQ)	The author (Robert Goodman) holds copyright on this instrument.  Approval for the use of this instrument in South Australia was negotiated with Dr Goodman in February 2004.			



# The Data Collection Protocol



# 2 The Data Collection Protocol

The data collection protocol provides guidelines for the collection of outcome measures in designated mental health facilities referred to as Inpatient mental health care, Community residential mental health care, and for Ambulatory mental health care. *Overview of Data Collection Protocol* outlines which measures are to be completed at different points in the delivery of care.

### Overview of Data Collection Protocol

lı		Inpatient			Community residential			Ambulatory		
Children and Adolescents	Α	R	D	Α	R	D	Α	R	D	
HoNOS-CA	<b>√</b>	<b>√</b>	<b>√</b>	<b>√</b>	<b>√</b>	<b>√</b>	<b>√</b>	<b>√</b>	<b>√</b>	
Children's Global Assessment Scale	✓	✓		<b>√</b>	<b>√</b>		<b>√</b>	✓		
Factors Influencing Health Status		✓	<b>√</b>		<b>√</b>	<b>√</b>		✓	<b>√</b>	
SDQ	✓	<b>√</b>	<b>√</b>	<b>√</b>	<b>√</b>	<b>√</b>	<b>√</b>	<b>√</b>	✓	
Principal and Additional Diagnoses		✓	<b>√</b>		<b>√</b>	<b>√</b>		✓	<b>√</b>	
Mental Health Legal Status		<b>√</b>	<b>√</b>		<b>√</b>	<b>√</b>		<b>√</b>	<b>√</b>	
Adults										
HoNOS	<b>√</b>	<b>√</b>	<b>√</b>	✓	<b>√</b>	<b>√</b>	<b>√</b>	<b>√</b>	<b>√</b>	
Life Skills Profile -16				✓	<b>√</b>	<b>√</b>		✓	✓	
K10+				✓	<b>√</b>	<b>√</b>	<b>√</b>	✓	<b>√</b>	
Focus of Care								<b>√</b>	<b>√</b>	
Principal and Additional Diagnoses		<b>√</b>	<b>√</b>		<b>√</b>	<b>√</b>		✓	<b>√</b>	
Mental Health Legal Status		<b>√</b>	<b>√</b>		<b>√</b>	<b>√</b>		<b>√</b>	<b>√</b>	
Older Persons 65+										
HoNOS 65+	<b>√</b>	✓	<b>√</b>	<b>√</b>	<b>√</b>	<b>√</b>	<b>√</b>	✓	<b>√</b>	
LSP-16				<b>√</b>	<b>√</b>	✓		✓	<b>√</b>	
Resource Utilisation Groups –Activities of Daily Living	<b>√</b>	<b>√</b>		<b>√</b>	<b>√</b>					
K10+				<b>√</b>	<b>√</b>	<b>√</b>	<b>√</b>	<b>√</b>	<b>√</b>	
Focus of Care								✓	<b>√</b>	
Principal and Additional Diagnoses		<b>√</b>	<b>√</b>		<b>√</b>	<b>√</b>		<b>√</b>	<b>√</b>	
Mental Health Legal Status		<b>√</b>	<b>√</b>		<b>√</b>	<b>√</b>		<b>√</b>	<b>√</b>	

<sup>✓</sup> Indicates this measure is completed at this time

# Abbreviations and Symbols

A Admission to Mental Health care

R Review of Mental Health care

D Discharge from Mental Health care

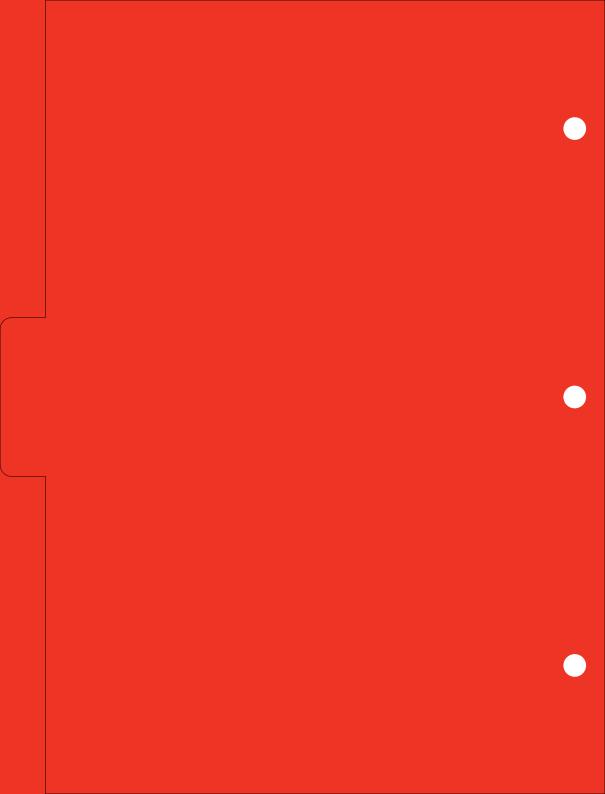
# The Data Collection Protocol

# Data to be collected and purpose of collection

	Ag	ge Grou	nb	Purp	oose
Clinical measurement scales	Child & Adolescent	Adults	Older People	Outcomes Evaluation	Casemix Classification
Health of the Nation Outcome Scales (HoNOS)		•		•	•
Health of the Nation Outcome Scales for Older People (HoNOS 65+)			•	•	•
Health of the Nation Outcome Scales for Children and Adolescents (HoNOSCA)	•			•	•
Life Skills Profile (LSP-16)		•	•	•	•
Resource Utilisation Groups – Activities of Daily Living Scale (RUG-ADL)			•		•
Children's Global Assessment Scale (CGAS)	•				•
Factors Influencing Health Status (FIHS)	•			0	•
Other clinical data					
Mental Health Legal Status	•	•	•	0	•
Principal and Additional diagnosis	•	•	•	0	•
Focus of Care (FoC)		•	•	0	•
Consumer self-report					
Kessler 10 (K10+),		•	•	•	
Strengths and Difficulties Questionnaire (SDQ, all versions)	•			•	

# Key to symbols

- Indicates the data will be used for the specified purpose of building the casemix classification or measuring outcomes.
- O Indicates the data is not an outcomes measure as such but is important for the interpretation of outcome data.



The clinician rated outcome measures are collected according to a standard data collection protocol. The protocol outlining which instruments are to be completed at a particular point in time is based on the following concepts:

# Program Type (Population group)

Children and Adolescents 0-17 years
Adults 18-64 years
Older Persons 65+ years

# Mental Health Service Setting

Psychiatric Inpatient Service: This setting includes overnight care provided in public psychiatric hospitals and designated psychiatric units in public acute hospitals. Psychiatric hospitals are establishments devoted primarily to the treatment and care of admitted patients with psychiatric, mental or behavioural disorders. Designated psychiatric units in a public acute hospital are staffed by health professionals with specialist mental health qualifications or training and have as their principal function the treatment and care of patients affected by mental disorder. For the purposes of NOCC specification, care provided by a Ambulatory mental health service team to a person admitted to a designated Special Care Suite or 'Rooming-In' facility within in a community general hospital for treatment of a mental or behavioural disorder is also included under this setting.

**Community Residential Mental Health Service:** A residential mental health service is a specialised mental health service that:

- > employs mental health-trained staff on site;
- > provides rehabilitation, treatment or extended care;
- > to residents provided with care intended to be on an overnight basis;
- > in a domestic-like environment; and
- > encourages the resident to take responsibility for their daily living activities.

These services include those that employ mental health trained staff on-site 24 hours per day and other services with less intensive staffing. However all these services employ on-site mental health trained staff for some part of each day.

For non-24 hour staffed services to be included in NOCC data reporting, they must employ mental health trained staff on-site at least 50 hours per week with at least 6 hours staffing on any single day. This is consistent with the scope of the NMDS – Residential Mental Health Care

Ambulatory Care mental health Service: This setting includes all non–admitted, non–residential services provided by health professionals with specialist mental health qualifications or training. Ambulatory mental health services include community–based crisis assessment and treatment teams, day programs, psychiatric outpatient clinics provided by either hospital or community–based services, child and adolescent outpatient and community teams, social and living skills programs, psychogeriatric assessment services and so forth. For the purposes of the NOCC protocol, care provided by hospital–based consultation–liaison services to admitted patients in non–psychiatric and hospital emergency settings is also included under this setting.

Refer to: <a href="http://meteor.aihw.gov.au">http://meteor.aihw.gov.au</a> for the latest definition on "Specialised Mental Health service setting".

#### Collection Occasion

Admission to mental health care Review of mental health care (91 days) Discharge from mental health care

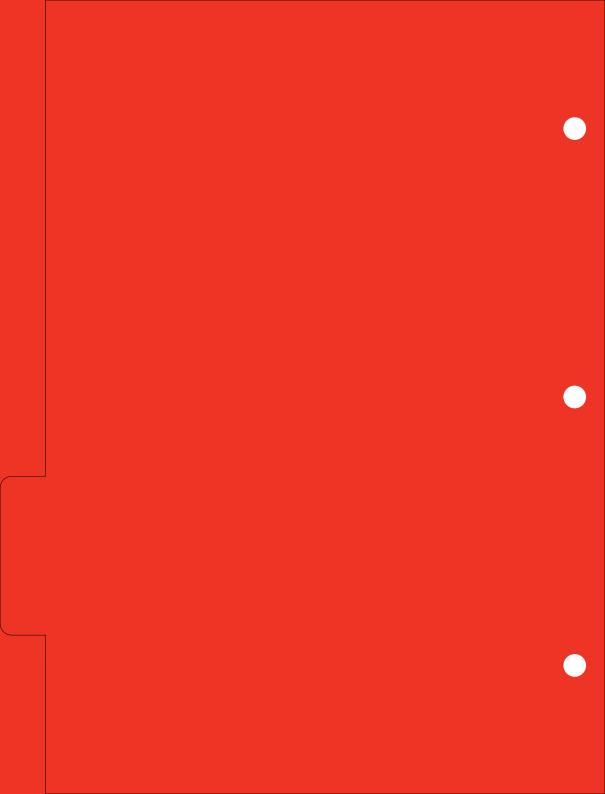
As a general rule, the clinician-rated outcome measures should be completed at the same time a formal clinical mental health assessment takes place and align to admission, review and discharge.

# 3.1 Collection Occasions

The following Reasons for Collection have been identified for the three primary Collection Occasions. The appropriate reason for collection is:

Collection Occasion	Reason for Collection	Definition				
Admission	01. New referral	Admission to a new Psychiatric inpatient, community residential or ambulatory episode of care of a consumer not currently under the active care of the <i>Mental Health Service Organisation</i> .				
		Notes:  New to the MH Service Organisation or  New, having not been under 'active care' in the previous 3mths.				
	02. Admitted from other treatment setting	Transfer of care between a Psychiatric inpatient, community residential or ambulatory setting of a consumer currently under the active care of the <i>Mental Health Service Organisation</i> .  Notes:  Admitted from other dedicated MH care treatment setting				
		within the current MH Organisation				
	03. Admission - Other	Admission to a new Psychiatric inpatient, community residential or ambulatory episode of care for any reason other than defined above.				
Review	04. 3-month review	Standard review conducted at 3 months (91 days) following admission to the current episode of care or 91 days subsequent to the preceding Review				
	05. Review – Other	Standard review conducted for reasons other than the above.				
		<ul> <li>in response to critical clinical events         or changes in the consumer's status;</li> <li>in response to a change from voluntary         to involuntary status or vice versa;</li> <li>following a transfer of care between community teams         or change of case manager;</li> <li>transfers between inpatient wards within a         multi-ward hospital;</li> <li>consumer or carer-requested reviews; and other         situations where a review may be indicated</li> </ul>				

Collection Occasion	Reason for Collection	Definition
Discharge	06. No further care	Discharge from an inpatient, community residential or ambulatory episode of care of a consumer for whom no further care is planned by the <i>Mental Health Service Organisation</i> .
		Notes: No further care in the current MH Service Organisation
	07. Discharge to change of treatment setting	Transfer of care between an inpatient and ambulatory setting of a consumer currently under the care of the <i>Mental Health Service Organisation</i> .
	3	Notes:  Discharge to change of treatment setting within the current MH Organisation
	08. Death	Completion of an episode of care following the death of the consumer.
	09. Discharge - Other	Discharge from an inpatient or ambulatory episode of care for any reason other than defined above.



# 4 Collection of the Consumer self report Measures: K10+ and Strengths and Difficulties Questionnaire

When inviting the consumer to complete the self-report measure the clinician needs to explain that the measure will provide the opportunity for the consumer to monitor his/her own progress (journey to recovery?). Monitoring his/her own journey can then lead to the consumer being more involved in his/her own treatment and care.

Explain that the measure is not a test and that there are no right or wrong answers. Reassure the consumer that the information will be kept confidential in the same way as their medical record. Offer them assistance but do not force them or indirectly indicate that they must complete the questionnaire. Explain to the consumer that they can ignore any questions that they feel uncomfortable with. Finally, reassure the consumer that their completion of the self-report measure is voluntary and their care will not be affected if they refuse to complete the measure.

When the consumer returns the measure, check that it has been completed. If not complete, ask the consumer whether he or she had any difficulty or whether they accidentally missed a question(s), this process may give clinicians valuable insight into the consumers mental health status

In general, all consumers should be asked to complete the self–report measures at the same scheduled Collection occasions as the Clinicians Rated measures are completed (that is, admission, review and discharge).

However, some consumers may not be able to complete self-report measures for a variety of reasons including but not limited to

- > the consumer's cognitive functioning is insufficient to enable understanding of the task as a result of an organic mental disorder or an intellectual disability;
- > where the consumer's current clinical state is of sufficient severity to make it unlikely that their responses to a self-report questionnaire could be obtained, or that if their responses were obtained it would be unlikely that they were a reasonable indication of person's feelings and thoughts about their current emotional and behavioural problems and wellbeing;
- > where an invitation to complete the measures is likely to be experienced as distressing or require a level of concentration and effort the person feels unable to give;
- > cultural or language issues make the self-report measure inappropriate.

# Collection of the Consumer self report Measures

Given these considerations, clinical judgement should be used to determine if the consumer is invited to complete self-report measure. At all other times, the consumer should be invited to complete the consumer self report measure.

In many cases, the severity of the person's clinical state will diminish with appropriate treatment and care. It is suggested that, if within a period of up to **7 days** following the *Collection Occasion* in an ambulatory care setting the consumer is likely to be able to complete the self–report questionnaire then their responses should be sought at that time. Otherwise, no further attempt to administer the self–report questionnaire at that *Collection Occasion* should be made.

# 4.1 Good practice guide to offering a Consumer rated Measure

#### Admission

The following script (or a variation appropriately reworded to match your own style) is suggested for introducing the consumer self-rated report measure.

"We have standard questionnaires that we ask consumers to complete. The questionnaire asks about how you have been feeling and how this may have impacted on your life in the last few weeks. Completing the questionnaire is important as it can give you a record of where you have started with treatment and your progress over time. It can also mean that it gives you and I the opportunity to discuss current issues and future directions in treatment and care."

The information will be kept confidential and only those people involved in your care will have access to it. (Remember to emphasise that.) However, if you choose not to complete this questionnaire, it will in no way affect the treatment you receive.

This questionnaire should take about 10 minutes to complete. Please read the instructions before you start. Remember there are no right or wrong answers, just choose the response that best shows how you feel. Don't ask your friends or family to complete it, but myself or another member of staff will be here to answer any questions you may have."

#### Review

As all consumers will have completed the questionnaire on admission into the unit or service, a brief introduction is suitable. The following script is recommended.

"I would like you to fill out this questionnaire again. It was the same one you filled in when your first arrived (started seeing me). By filling this in now, we can compare it to the last one and see what has changed. There are no right or wrong answers. Remember, if you choose not to complete this questionnaire, it will in no way affect the treatment you receive."

## Discharge

An introduction similar to that used at review should be offered. The following script is recommended.

"Before you leave we would like you to fill out the questionnaire again. By filling this out now, we can compare how things have changed for you. Remember there are no right or wrong answers and if you choose not to complete the questionnaire, it will in no way affect the treatment you receive."

# 4.2 Administration and Completion of the Consumer self-rated Measure

In all clinical settings and at all occasions, the consumer should be provided with privacy and be free from distractions. For both inpatient and ambulatory administration provide a firm writing surface such as a table or clipboard.

## Closing

Closing should be the same regardless of setting and occasion. When the consumer returns the questionnaire, check that they have been completed. If not complete, ask the consumer whether he or she had any difficulty or whether they accidentally missed a question(s). Encourage them to complete the questionnaire. Offer them assistance, but do not force them or indirectly indicate that they must complete the questionnaire. Finally, thank the consumer.

When the questionnaire is returned to you, quickly browse through it to make sure that all the questions have been answered. If you notice there are missing items point this out to the consumer and try to elicit the reason in a casual, non-threatening fashion.

"Thanks for filling in the questionnaire, that's great. I'm really pleased you made the effort. I just wanted to check something with you because you've left this out. Did you leave it out because you don't want to fill it in or did you accidentally skip over it?"

# Collection of the Consumer self report Measures

Encourage the consumer to complete the questionnaire. Your exact response should be determined by their reason for non-completion.

If consumers have missed questions accidentally, say:

"That's okay. Would you mind filling them in now? That would be really great."

#### Ran out of time

If there are time constraints you may need to leave the questionnaire with them and collect it later

In an outpatient setting you may need to arrange another time or alternatively let them take the questionnaire home with them. In the latter case give them an envelope and ask them to return the questionnaire at their next visit. Ask that they finish the questionnaire within the next day or two. Stress the importance of having information about how <u>they</u> are feeling now. Also remind them to complete the questionnaire on their own – you are interested in how they feel. Tell them that when they have finished they should put it in the envelope and then bring it back at their next visit.

After the questionnaire has been completed you may notice the consumer has circled more than one answer. If this has only occurred for one question – take the most extreme response. If several or all responses are answered in this fashion try to find out why, then help the consumer to correct their responses.

"This is interesting. I've noticed that you've circled more than one response for these questions. Why have you answered in that way? Would you like to tell me about it?"

# 4.3 Addressing Problems and questions

# Refusal to Complete the Questionnaire

Consumers must not be forced or ordered to fill out the questionnaire. If the consumer refuses, try to find out their reasons for refusal. Acknowledge that their reasons are valid and point out that **refusal will in no way affect their treatment**. Encourage them to fill in the questionnaire using the suggested scripts as outlined below. If the consumer still maintains refusal, take back the questionnaire.

#### Don't want to

A consumer may simply state that he or she does not want to fill in the questionnaire.

If the consumer refuses to complete the self-rated report measure, under codes for collection status rate 4 Not completed due to refusal by patient or client.

### If the consumer is hostile

It may be best to simply say:

"I can see you are a bit unsettled at the moment. Why don't we leave this for now?"

In an **ambulatory** care setting it is best to re-present at the next session. A suggested script may be:

"I'm sorry you were upset at the last session, I think it was a bad time to offer you the questionnaire. How would you feel about completing the questionnaire now? It would be really helpful for your treatment and care if you could fill it in for me."

If the clinician is unable to offer the self-rated report measure because of hostility, under codes for collection status rate 2 Not completed due to temporary contraindication.

#### If the consumer is not hostile

Encourage him or her to complete the questionnaire. If the consumer still says no, discontinue (using the same response as that if the consumer is hostile) and try again at the next session or visit.

The following script is suggested.

"We are really doing this so we can get a good idea of how you are feeling. This questionnaire is really a useful tool for doing this".

If the consumer continues to refuse to complete the self-rated report measure, under codes for collection status rate 4 Not completed due to refusal by patient or client.

# Collection of the Consumer self report Measures

#### Trust

The consumer may not trust what you are trying to find out. If this happens, re-emphasise the purpose of the questionnaire and how the information will help you to help them. However, care must be taken because the more you re-present the more suspicious they may become. If the consumer is suspicious to the point of paranoia, there is very little you can do – the more you push the more they will become anxious and concerned about your motives. In this case it is best to discontinue and maybe try again at a later date when the consumer feels more settled and safe.

#### Time

If the consumer states he or she does not have the time, be understanding and encourage the consumer.

"Yes, I understand it can be seen as time consuming, but this information will give us a better understanding of how you feel, and this will help both of us in planning your treatment."

If the consumer continues to refuse to complete the self-rated report measure, under codes for collection status rate 4 Not completed due to refusal by patient or client.

# Confidentiality

If the consumer is concerned about people seeing the information, re-emphasise that the information is confidential

"Like all your medical records, we assure you that the information you provide will be kept in strict confidence. We follow very strict policies and procedures to make sure that only authorized people have access to the information.

If another clinician requires information about you we would only give them that information if you give us your consent. Also, if you are referred to another clinician, you will be asked to sign a consent form that allows us to talk to that clinician about your treatment".

If the consumer refuses to complete the consumer self-rated report measure, because of concerns about confidentiality, under codes for collection status rate **4 Not completed due to refusal by patient or client.** 

#### Content

If the consumer feels the questionnaire is irrelevant re-emphasise the purpose of the questionnaire. For example, you might say:

"You may not feel this questionnaire is relevant to you but it does provide us with very useful information about how you feel and how well you are able to cope with your daily activities. Remember the more information we have the more we will be able to help you."

If the consumer feels particular items are irrelevant the following scripts may be used:

"Although this question doesn't seem to apply to you at present, it has been found to be a useful question to ask people with problems like those you currently have. So that we get a clear and reliable picture of how you feel about your problems we need to ask you the same questions we ask everyone else. So it is important that you try to answer every question, even though some of them may not seem relevant."

Or

"This questionnaire may not be exactly appropriate for you but just answer it as best you can. Don't worry if some of the questions don't seem to apply to you but, your responses to them can still give us useful information."

If the consumer leaves some questions unanswered on the self-rated report measure because of concerns about content, under codes for collection status rate as 1 complete or partially complete.

### Comprehension

If a consumer has trouble understanding particular items, ask them which part of the items they are having trouble with. Re-read the question slowly and exactly as it is written. Do **not** rephrase the question. If the consumer is unfamiliar with, or does not know the meaning of a word, it is appropriate to provide a definition of that word. If they are still having trouble, say:

"Try your best, just put down what you feel. There is no right or wrong answer".

# Collection of the Consumer self report Measures

If a consumer asks for clarification of an item so that they can better understand and respond to that question, assist the consumer by slowly re-reading the question exactly as it is written. If the consumer asks what the question means, do not try to explain it, but suggest that he or she:

"Use your own interpretation. We need you to answer the question based on what you think it means. As stated previously, it is appropriate to provide the definition of an unfamiliar or unknown word".

If the consumer is unable to complete the self-rated report measure because of poor comprehension, under codes for collection status rate 2 Not completed due to temporary contraindication.

If the consumer leaves some questions unanswered on the self-rated report measure because of poor comprehension, under codes for collection status rate as 1 complete or partially complete.

#### Confusion

Some consumers may find some questions confusing, repetitive or similar. They may feel they've already answered a question and then don't know how to answer one that is similar. If this occurs, explain that:

"Yes, some of the questions are (seem) a bit the same, but asking things in different ways can sometimes give us more reliable information about the way you feel. Just answer it as best you can. Remember there is no right or wrong answer – this is purely to monitor how you are feeling about your problems. If some of the questions are similar, that's okay. Don't think about it too hard – you'll probably have an immediate reaction – so if that's how you feel when you read it, that's what you should answer."

If the consumer is unable to complete the self-rated report measure because of confusion, under codes for collection status rate 2 Not completed due to temporary contraindication.

# Poor memory

Some consumers may have difficulty with their memory. By the time they have read through all the response alternatives to a question they can't remember what the first one was, or, what the question was. A consumer may inform you of this difficulty or you may notice it yourself. In either case, it may be best to sit with him or her and work through the questionnaire with them. Read the questions aloud to them. In this way you can act as a memory prompt. Ask the consumer:

"Would you like me to sit with you? We can work through the questions together."

If the consumer is unable to complete the self-rated report measure because of poor memory, under codes for collection status, rate 3 Not completed due to general exclusion.

# Poor eyesight

If a consumer has forgotten his or her glasses, offer to sit with them and read the questions aloud for them. In settings where consumers commonly forget their reading glasses, it may be useful to keep on hand one or two old pairs of reading glasses.

If the consumer is unable to complete the self-rated report measure because of poor eyesight, under codes for collection status rate 2 - Not complete due to temporary contraindication

# Uncertainty

Consumers may feel uncertainty as to how to answer. Try to ease their uncertainty and encourage them. Often their uncertainty is focused around the limited responses available to them in a particular question. In those cases you need to help them stop worrying too much about accuracy and encourage them to make their best guess.

If the consumer says something like "I'm sort of half this - half that, what should I put down?" you may say:

"Do the best you can – just answer how you most feel – whichever one suits you best."

If the consumer is unable to complete the self-rated report measure because of uncertainty, under codes for collection status rate 2 Not complete due to temporary contraindication

If the consumer leaves some questions unanswered on the self-rated report measure because of uncertainty, under codes for collection status rate 1 Complete or partially complete.

Don't think the questions were relevant – it's a waste of time.

If the consumer feels the questionnaire or some questions in a questionnaire are irrelevant choose one of the following responses:

"Although this question doesn't seem to apply to you at present, it has been found to be a useful question to ask people with problems like those you currently have. So it is important that you try to answer every question, even though some of them may not seem relevant."

# Collection of the Consumer self report Measures

Or

This questionnaire may not be exactly appropriate for you but just answer it as best you can. Don't worry if some of the questions don't seem to apply to you but, your responses to them can still give useful information.

If the consumer is unable to complete the self-rated report measure because they feel it is a waste of time, under codes for collection status rate 2 Not complete due to temporary contraindication.

If the consumer leaves some questions unanswered on the self-rated report measure because they feel it is a waste of time, under codes for collection status rate 1 Complete or partially complete.

# Too long

If a consumer indicates he/she did not complete the questionnaire because it is too long, say:

"Sorry about that, but it does provide useful information for you and I so that we can use it to work together on the issues you have currently."

If the consumer is unable to complete the self-rated report measure because they feel it is too long, under codes for collection status rate 2 Not complete due to temporary contraindication.

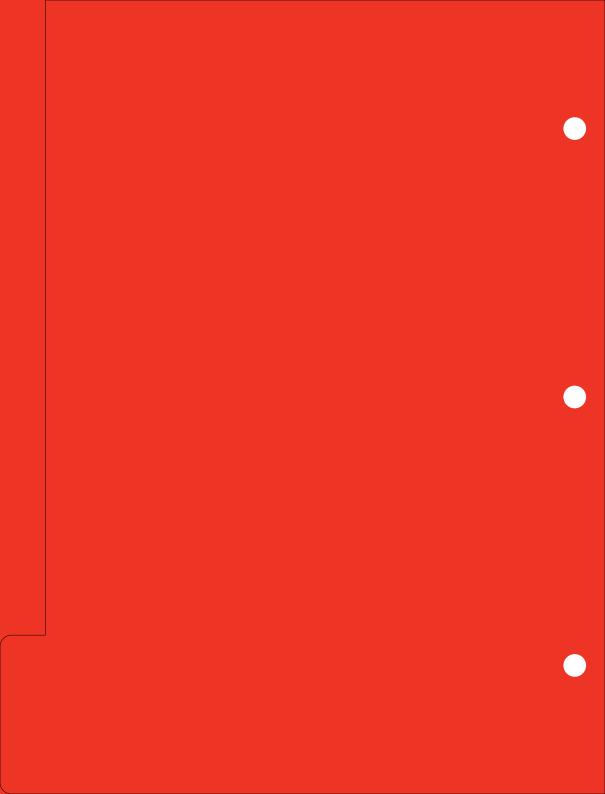
If the consumer leaves some questions unanswered on the self-rated report measure because they feel it is too long, under codes for collection status rate 1 Complete or partially complete.

# 4.4 Collection Status

There are a number of indicators of the status of data collection. These codes describe the way measures were completed, or the reasons why measures were not completed.

- 1. Complete or Partially complete
- 2. Not completed due to temporary contraindication (applies only to self–report measures)
- 3. Not completed due to general exclusion (applies only to self–report measures)
- 4. Not completed due to refusal by patient or client (applies only to self–report measures)
- 7. Not completed for reasons not elsewhere classified
- 9. Not stated / Missing

# Child and Adolescent measures



Three mandatory clinical scales will be collected for child and youth consumers that are completed by clinicians:

- > HoNOSCA (Health of the Nation Outcome Scales for Children and Adolescents)
- > Children's Global Assessment Scale (CGAS)
- > Factors Influencing Health Status (FIHS)

The Strengths and Difficulties Questionnaire (SDQ) is a voluntary consumer rated scale which can be filled out by a client/parent/carer/teacher and is used in conjunction with the above clinician rated scales. Scores from the SDQ questionnaire are entered into the Information system by the clinician. While the SDQ is a voluntary measure it is the clinician's responsibility to ensure the SDQ is offered to the consumer and an explanation given as to its usefulness to their care and treatment.

# 5.1 Health of the Nation Outcomes Scale for Children and Adolescents (HoNOSCA)

# General rating guidelines

- 1. Rate items in order from 1 to 15.
- 2. Do not include information already rated in an earlier item.
- 3. Rate the most severe problem that occurred in the period rated.
- 4. The rating period is generally the preceding two weeks for inpatients at admission, for hospital outpatients, and for all patients of community based services. The exception is at discharge from inpatient care, in which case the rating period should generally be the preceding 72 hours.

Each item is rated on a 5-point scale of severity (0 to 4) as follows:

- **0** No problem
- 1 Minor problem requiring no formal action
- 2 Mild problem. Should be recorded in a care plan or other case record
- **3** Problem of moderate severity
- 4 Severe to very severe problem
- 7 Not Stated / Missing
- **9** Not known / Unable to rate. As far as possible, the use of rating point 9 should be avoided, because missing data make scores less comparable over time or between settings.

Specific help for rating each point on each item is provided in the Glossary and this should be referred to when making a rating.

The HoNOSCA does not set targets or interventions. The instrument is used to rate health outcome not health care outcomes, so interventions are not considered when rating items.

The following table provides guidelines to interpreting scores achieved on the HoNOSCA distinguishing between clinically and not clinically significant scores. These are general rules for clinicians using the HoNOSCA scores in day to day clinical practice.

More detailed reports on an individuals HoNOSCA score can be printed from within the Information system..

				Monitor	Active treatment or management plan?
ally			Problem not present.	×	×
Not clinically significant	1	Minor problem	Requires no formal action. May or may not be recorded in clinical file.	Maybe	×
	2	Mild problem	Warrants recording in clinical notes. May or not be incorporated in care plan.	<b>√</b>	Maybe
Clinically significant	3	Moderate problem	Warrants recording in clinical file. Should be incorporated in care plan.	<b>✓</b>	<b>√</b>
Clini signif	4	Severe to very severe problem	Most severe category for patients with this problem. Warrants recording in clinical file. Should be incorporated in care plan. Note – patient can get worse.	<b>√</b>	1

# Glossary HoNOSCA

# 1. Problems with disruptive, antisocial or aggressive behaviour

<u>Include</u> behaviour associated with any disorder, such as hyperkinetic disorder, depression, autism, drugs or alcohol.

<u>Include</u> physical or verbal aggression (eg., pushing, hitting, vandalism, teasing), or physical or sexual abuse of other children.

<u>Include</u> antisocial behaviour (eg., thieving, lying, cheating) or oppositional behaviour (eg., defiance, opposition to authority or tantrums).

<u>**Do not**</u> include: Overactivity rated at scale 2; Truancy, rated at scale 13; Self-harm rated at Scale 3.

- **0** No problems of this kind during the period rated.
- 1 Minor quarrelling, demanding behaviour, undue irritability, lying, etc.
- 2 Mild but definitely disruptive or antisocial behaviour, lesser damage to property, or aggression, or defiant behaviour.
- **3** Moderately severe aggressive behaviour such as fighting, persistently threatening, very oppositional, more serious destruction of property, or moderately delinquent acts.
- 4 Disruptive in almost all activities, or at least one serious physical attack on others or animals, or serious destruction of property.

# 2. Problems with overactivity, attention or concentration

<u>Include</u> overactive behaviour associated with any disorder such as hyperkinetic disorder, mania, or arising from drugs.

<u>Include</u> problems with restlessness, fidgeting, inattention or concentration due to any cause, including depression.

- **0** No problems of this kind during the period rated.
- 1 Slight overactivity or minor restlessness, etc.
- 2 Mild but definite overactivity or attention problems, but can usually be controlled.
- **3** Moderately severe overactivity or attention problems those are sometimes uncontrollable.
- 4 Severe overactivity or attention problems that are present in most activities and almost never controllable.

# 3. Non-accidental self-injury

<u>Include</u> self-harm such as hitting self and self cutting, suicide attempts, overdoses, hanging, drowning, etc.

<u>**Do not**</u> include scratching, picking as a direct result of physical illness rated at Scale 6.

<u>**Do not**</u> include accidental self-injury due, eg., to severe learning or physical disability, rated at scale 6.

<u>**Do not**</u> include illness or injury as a direct consequence of drug or alcohol use, rated at scale 6

- **0** No problems of this kind during the period rated.
- 1 Occasional thoughts about death, or of self-harm not leading to injury. No self-harm or suicidal thoughts.
- 2 Non-hazardous self-harm, such as wrist scratching, whether or not associated with suicidal thoughts.
- **3** Moderately severe suicidal intent (including preparatory acts, eg. collecting tablets) or moderate non-hazardous self-harm (eq. small overdose).
- 4 Serious suicidal attempt (eg. serious overdose), or serious deliberate self-injury.

# 4. Problems with alcohol, substance or solvent misuse

<u>Include</u> problems with alcohol, substance or solvent misuse taking into account current age and societal norms.

<u>**Do not**</u> include aggressive or disruptive behaviour due to alcohol or drug use, rated at Scale 1.

<u>**Do not**</u> include physical illness or disability due to alcohol or drug use, rated at Scale 6.

- **0** No problems of this kind during the period rated.
- **1** Minor alcohol or drug use, within age norms.
- 2 Mildly excessive alcohol or drug use.
- 3 Moderately severe drug or alcohol problems significantly out of keeping with age norms.
- 4 Severe drug or alcohol problems leading to dependency or incapacity.

# 5. Problems with scholastic or language skills

<u>Include</u> problems in reading, spelling, arithmetic, speech or language associated with any disorder or problem, such as specific developmental learning problems, or physical disability such as hearing problems.

<u>Include</u> reduced scholastic performance associated with emotional or behavioural problems.

Children with generalised learning disability should <u>not be included</u> unless their functioning is below the expected level.

<u>**Do not**</u> include temporary problems resulting purely from inadequate education.

- **0** No problems of this kind during the period rated.
- 1 Minor impairment within the normal range of variation.
- 2 Minor but definite impairment of clinical significance.
- 3 Moderately severe problems, below the level expected on the basis of mental age, past performance, or physical disability.
- **4** Severe impairment, much below the level expected on the basis of mental age, past performance, or physical disability.

# 6. Physical illness or disability problems

<u>Include</u> physical illness or disability problems that limit or prevent movement, impair sight or hearing, or otherwise interfere with personal functioning.

<u>Include</u> movement disorder, side effects from medication, physical effects from drug or alcohol use, or physical complications of psychological disorders such as severe weight loss.

<u>Include</u> self-injury due to severe learning disability or as of consequence of self-injury such as head banging.

<u>**Do not**</u> include somatic complaints with no organic basis, rated at scale 8.

- **0** No incapacity as a result of physical health problems during the period rated.
- 1 Slight incapacity as a result of a health problem during the period (eg. cold, non-serious fall, etc.).
- 2 Physical health problem that imposes mild but definite functional restriction.
- 3 Moderate degree of restriction on activity due to physical health problems.
- 4 Complete or severe incapacity due to physical health problems.

# 7. Problems associated with hallucinations, delusions or abnormal perceptions.

*Include* hallucinations, delusions or abnormal perceptions irrespective of diagnosis.

Include odd and bizarre behaviour associated with hallucinations and delusions.

<u>Include</u> problems with other abnormal perceptions such as illusions or pseudohallucinations, or overvalued ideas such as distorted body image, suspicious or paranoid thoughts.

<u>**Do not**</u> include disruptive or aggressive behaviour associated with hallucinations or delusions, rated at Scale 1.

<u>**Do not**</u> include overactive behaviour associated with hallucinations or delusions, rated at Scale 2.

- **0** No evidence of abnormal thoughts or perceptions during the period rated.
- 1 Somewhat odd or eccentric beliefs not in keeping with cultural norms.
- 2 Abnormal thoughts or perceptions are present (eg. paranoid ideas, illusions or body image disturbance), but there is little distress or manifestation in bizarre behaviour, i.e. clinically present but mild.
- **3** Moderate preoccupation with abnormal thoughts or perceptions or delusions; hallucinations, causing much distress, or manifested in obviously bizarre behaviour.
- **4** Mental state and behaviour is seriously and adversely affected by delusions or hallucinations or abnormal perceptions, with severe impact on the person or others.

# 8. Problems with non-organic somatic symptoms

<u>Include</u> problems with gastrointestinal symptoms such as non-organic vomiting or cardiovascular symptoms or neurological symptoms or non-organic enuresis and encopresis or sleep problems or chronic fatique.

<u>Do not</u> include movement disorders such as tics, rated at Scale 6.

<u>**Do not**</u> include physical illnesses that complicate non-organic somatic symptoms, rated at Scale 6.

- **0** No problems of this kind during the period rated.
- 1 Slight problems only, such as occasional enuresis, minor sleep problems, headaches or stomach aches without organic basis.
- 2 Mild but definite problem with non-organic somatic symptoms.
- 3 Moderately severe, symptoms produce a moderate degree of restriction in some activities
- **4** Very severe problems or symptoms persist into most activities. The child or adolescent is seriously or adversely affected.

# 9. Problems with emotional and related symptoms

Rate only the most severe clinical problem not considered previously.

<u>Include</u> depression, anxiety, worries, fears, and phobias. obsessions or compulsions, arising from any clinical condition including eating disorders.

<u>**Do not**</u> include aggressive, destructive or overactivity behaviours attributed to fears or phobias, rated at Scale 1.

<u>**Do not**</u> include physical complications of psychological disorders, such as severe weight loss, rated at Scale 6.

- **0** No evidence of depression, anxiety, fears or phobias during the period rated.
- 1 Mildly anxious, gloomy, or transient mood changes.
- 2 A mild but definite emotional symptom is clinically present, but is not preoccupying.
- 3 Moderately severe emotional symptoms, which are preoccupying, intrude into some activities, and are uncontrollable at least sometimes.
- 4 Severe emotional symptoms, which intrude into all activities and are nearly always uncontrollable

# 10. Problems with peer relationships

<u>Include</u> problems with school mates and social network. Problems associated with active or passive withdrawal from social relationships or problems with over intrusiveness or problems with the ability to form satisfying peer relationships.

<u>Include</u> social rejection as a result of aggressive behaviour or bullying.

<u>**Do not**</u> include aggressive behaviour, bullying, rated at Scale 1.

<u>**Do not**</u> include problems with family or siblings rated at Scale 12.

- **0** No significant problems during the period rated.
- 1 Either transient or slight problems, occasional social withdrawal.
- 2 Mild but definite problems in making or sustaining peer relationships. Problems causing distress due to social withdrawal, over-intrusiveness, rejection or being bullied.
- 3 Moderate problems due to active or passive withdrawal from social relationships, over-intrusiveness, or to relationships that provide little or no comfort or support, eg. as a result of being severely bullied.
- **4** Severe social isolation with hardly any friends due to inability to communicate socially or withdrawal from social relationships.

# 11. Problems with self-care and independence

<u>Rate</u> the overall level of functioning, eg. problems with basic activities of self-care such as feeding, washing, dressing, toilet, and also complex skills such as managing money, travelling independently, shopping etc.; taking into account the norm for the child's chronological age.

<u>Include</u> poor levels of functioning arising from lack of motivation, mood or any other disorder

<u>**Do not**</u> include lack of opportunities for exercising intact abilities and skills, as might occur in an over restrictive family, rated at Scale 12.

<u>Do not</u> include enuresis and encopresis, rated at Scale 8.

- **0** No problems of this kind during the period rated; good ability to function in all areas.
- 1 Minor problems, eg. untidy, disorganised.
- 2 Self-care adequate, but major inability to perform one or more complex skills (see above).

- 3 Major problems in one or more areas of self-care (eating, washing, dressing) or major inability to perform several complex skills.
- 4 Severe disability in all or nearly all areas of self-care or complex skills.

# 12. Problems with family life and relationships

Include parent-child and sibling relationship problems.

<u>Include</u> relationships with foster parents, social workers or teachers in residential placements. Relationships in the home with separated parents and siblings should both be included. Parental personality problems, mental illness, marital difficulties should only be rated here if they have an effect on the child or adolescent.

<u>Include</u> problems such as poor communication, arguments, verbal or physical hostility, criticism and denigration, parental neglect or rejection, over restriction, sexual or physical abuse.

<u>Include</u> sibling jealousy, physical or coercive sexual abuse by sibling.

<u>Include</u> problems with enmeshment and overprotection.

<u>Include</u> problems with family bereavement leading to reorganisation.

<u>**Do not**</u> include aggressive behaviour by the child or adolescent, rated at Scale 1.

- **0** No problems during the period rated.
- 1 Slight or transient problems.
- 2 Mild but definite problem, eg. some episodes of neglect or hostility or enmeshment or overprotection.
- **3** Moderate problems, eg. neglect, abuse, hostility. problems associated with family or carer breakdown or reorganisation.
- **4** Serious problems with the child or adolescent feeling or being victimised, abused or seriously neglected by family or carer.

### 13. Poor school attendance

<u>Include</u> truancy, school refusal, school withdrawal or suspension for any cause.

<u>Include</u> attendance at type of school at time of rating, eg. hospital school, home tuition, etc. If school holiday, rate the last two weeks of the previous term.

- **0** No problems of this kind during the period rated.
- 1 Slight problems, eg. late for two or more lessons.
- 2 Definite but mild problems, eg. missed several lessons because of truancy or refusal to go to school.
- 3 Marked problems, absent several days during the period rated.
- **4** Severe problems, absent most or all days. Include school suspension, exclusion or expulsion for any cause during the period rated

**NB Scales 14 and 15** are concerned with problems for the child, parent or carer relating to lack of information or access to services. These are not direct measures of the child's mental health, but changes here may result in long-term benefits for the child

# 14. Problems with knowledge or understanding about the nature of the child or adolescent's difficulties (in the period rated)

<u>Include</u> lack of useful information or understanding available to the child or adolescent, parents or carers.

<u>Include</u> lack of explanation about the diagnosis or the cause of the problem or the prognosis.

- **0** No problems during the period rated. Parents and carers have been adequately informed about the child or adolescent's problems.
- 1 Slight problems only.
- 2 Mild but definite problems.
- 3 Moderately severe problems. Parents and carers have very little or incorrect knowledge about the problem which is causing difficulties such as confusion or self-blame.
- **4** Very severe problems. Parents have no understanding about the nature of their child or adolescent's problems.

# 15. Problems with lack of information about services or management of the child or adolescent's difficulties

<u>Include</u> lack of useful information or understanding available to the child or adolescent, parents or carers or referrers.

<u>Include</u> lack of information about the most appropriate way of providing services to the child or adolescent, such as care arrangements, educational placements, or respite care.

- **0** No problems during the period rated. The need for all necessary services has been recognised.
- 1 Slight problems only.
- 2 Mild but definite problems.
- 3 Moderately severe problems. Parents and carers have been given very little information about appropriate services, or professionals are not sure where a child should be managed.
- **4** Very severe problems. Parents have no information about appropriate services or professionals do not know where a child should be managed.

# 5.2 Children's Global Assessment Scale (CGAS)

The CGAS is used as the key measure of level of functioning for consumers of child and adolescent mental health services. It provides a global measure of severity of disturbance in children and adolescents. Similar to the HoNOSCA it is designed to reflect the lowest level of functioning for a child or adolescent during a specified period. The measure provides a single global rating only, on a scale of 1 -100.

Clinicians assign a score with 1 representing the most functionally impaired child and 100 the healthiest. The CGAS contains detailed behaviourally oriented descriptions at each anchor point that depict behaviours and life situations applicable to children and adolescents.

# General rating guidelines

Rate the consumer's most impaired level of general functioning for the specified time period by selecting the lowest level which describes his/her functioning on a hypothetical continuum of health-illness. Use exact scores (eg 35, 58, 62).

Rate actual functioning regardless of treatment or prognosis. The examples of behaviour provided are only illustrative and are not required for a particular rating.

100-91	Superior functioning in all areas (at home, at school and with peers); involved in a wide range of activities and has many interests (eg., has hobbies or participates in extracurricular activities or belongs to an organised group such as Scouts, etc); likeable, confident; 'everyday' worries never get out of hand; doing well in school; no symptoms.
90-81	Good functioning in all areas; secure in family, school, and with peers; there may be transient difficulties and 'everyday' worries that occasionally get out of hand (eg., mild anxiety associated with an important exam, occasional 'blowups' with siblings, parents or peers).
80-71	No more than slight impairments in functioning at home, at school, or with peers; some disturbance of behaviour or emotional distress may be present in response to life stresses (eg. parental separations, deaths, birth of a sibling), but these are brief and interference with functioning is transient; such children are only minimally disturbing to others and are not considered deviant by those who know them.

70-61	Some difficulty in a single area, but generally functioning pretty well (eg. sporadic or isolated antisocial acts, such as occasionally playing hooky or petty theft; consistent minor difficulties with school work; mood changes of brief duration; fears and anxieties which do not lead to gross avoidance behaviour; self-doubts); has some meaningful interpersonal relationships; most people who do not know the child well would not consider him/her deviant but those who do know him/her well might express concern.
60-51	Variable functioning with sporadic difficulties or symptoms in several, but not all social areas; disturbance would be apparent to those who encounter the child in a dysfunctional setting or time, but not to those who see the child in other settings.
50-41	Moderate degree of interference in functioning in most social areas or severe impairment of functioning in one area, such as might result from, for example, suicidal preoccupations and ruminations, school refusal and other forms of anxiety, obsessive rituals, major conversion symptoms, frequent anxiety attacks, poor to inappropriate social skills, frequent episodes of aggressive or other antisocial behaviour with some preservation of meaningful social relationships.
40-31	Major impairment of functioning in several areas and unable to function in one of these areas (eg. disturbed at home, at school, with peers, or in society at large, eg., persistent aggression without clear instigation; markedly withdrawn and isolated behaviour due to either mood or thought disturbance, suicidal attempts with clear lethal intent; such children are likely to require special schooling and/or hospitalisation or withdrawal from school (but this is not a sufficient criterion for inclusion in this category).
30-21	Unable to function in almost all areas eg., stays at home, in ward, or in bed all day without taking part in social activities or severe impairment in reality testing or serious impairment in communication (eg. sometimes incoherent or inappropriate).
20-11	Needs considerable supervision to prevent hurting others or self (eg. frequently violent, repeated suicide attempts) or to maintain personal hygiene or gross impairment in all forms of communication, eg. severe abnormalities in verbal and gestural communication, marked social aloofness, stupor, etc.
10-1	Needs constant supervision (24-hour care) due to severely aggressive or self-destructive behaviour or gross impairment in reality testing, communication, cognition, affect or personal hygiene.

# 5.3 Factors Influencing Health Status and Contact with Health Services

The Factors Influencing Health Status measure is a checklist of 'psychosocial complications' based on the problems and issues identified in the chapter of ICD - 10 regarding Factors Influencing Health Status.

The purpose of these items is to identify the degree to which the child or adolescent has complicating psychosocial factors that require additional clinical input during the episode of care.

### General rating guidelines

By using the following rating scale, indicate whether any of the factors listed below are present or have required special clinical evaluation, therapeutic treatment, diagnostic procedures or increased clinical care or monitoring during the preceding period of care.

- 1 Yes
- 2 No
- 7 Unable to rate (insufficient information)
- 9 Not stated/ Missing

Rate more than one item if appropriate.

# Glossary

# 1. Maltreatment syndromes

Includes: Neglect or abandonment; Physical abuse; Sexual abuse; Psychological abuse.

# 2. Problems related to negative life events in childhood

Includes: Loss of love relationship in childhood; Removal from home in childhood; Altered pattern of family relationships in childhood; Problems related to alleged sexual abuse of child by person within primary support group; Problems related to alleged sexual abuse of child by person outside primary support group; Problems related to alleged physical abuse of child; Personal frightening experience in childhood; Other negative life events in childhood.

# 3. Problems related to upbringing

Includes: Inadequate parental supervision and control; Parental overprotection; Institutional upbringing; Hostility towards and scapegoating of child; Emotional neglect of child; Other problems related to neglect in upbringing; Inappropriate parental pressure and other abnormal qualities of upbringing; Other specified problems related to upbringing.

4. Problems related to primary support group, including family circumstances Includes: Problems in relationship with spouse or partner; Problems in relationship with parents and in-laws; Inadequate family support; Absence of family member; Disappearance or death of family member; Disruption of family by separation and divorce; Dependant relative needing care at home; Other stressful life events affecting family and household; Other problems related to primary support group.

### 5. Problems related to social environment

Includes: Problems of adjustment to lifecycle transitions; Atypical parenting situation; Living alone; Acculturation difficulty; Social exclusion and rejection; Target of perceived adverse discrimination and rejection.

### 6. Problems related to certain psychosocial circumstances

Includes: Problems related to unwanted pregnancy; Problems related to multiparity; Seeking or accepting physical, nutritional or chemical interventions known to be hazardous or harmful; Seeking or accepting behavioural or psychological interventions known to be hazardous or harmful; Discord with counsellors.

### 7. Problems related to other psychosocial circumstances

Includes: Conviction in civil and criminal proceedings without imprisonment; Imprisonment or other incarceration; Problems related to release from prison; Problems related to other legal circumstances; Victim of crime or terrorism; Exposure to disaster, war or other hostilities.

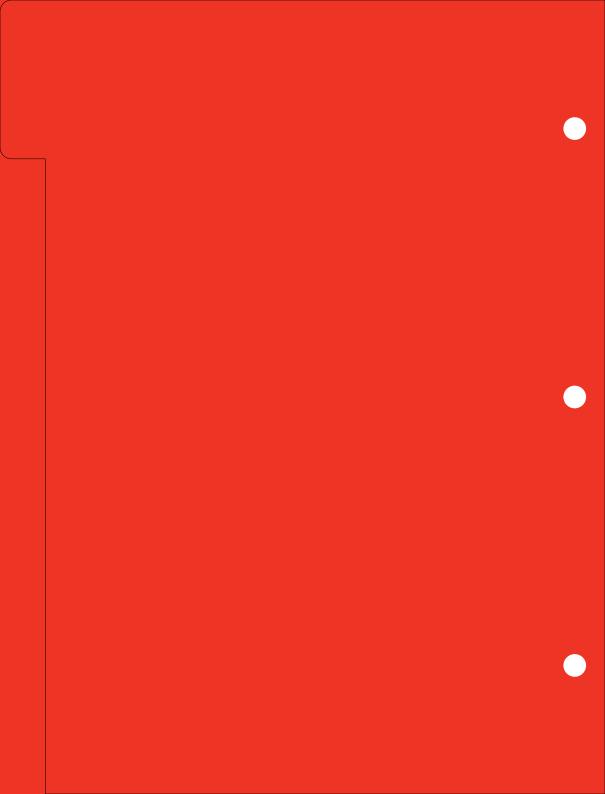
# 5.4 Interpreting SDQ Symptom Scores

Although SDQ scores can often be used as continuous variables, it is sometimes convenient to classify scores in the bands as set out in the Table below. Using the comments, a "substantial risk of clinical significant problems" score on the Total Difficulties Score can be used to identify likely 'cases' with mental disorders. This is clearly only a rough- and ready method for detecting disorders – combining information from SDQ symptom and impact scores from multiple informants is better, but still far from perfect. Approximately 10% of a community sample scores in the 'substantial risk of clinically significant' band on any given score with a further 10% scoring in the 'may reflect clinically significant problems' band. The exact proportions vary according to country, age and gender – normative SDQ data are available from the website <a href="http://www.sdqinfo.com/b8.html">http://www.sdqinfo.com/b8.html</a>. Banding and caseness criteria for these characteristics can be adjusted, setting the threshold higher when avoiding false positives is of paramount importance, and setting the threshold lower when avoiding false negatives is more important.

	'This score is close to average - clinically significant problems in this area are unlikely'	'This score is slightly raised, which may reflect clinically significant problems'	'This score is high - there is a substantial risk of clinically significant problems in this area'
Parent			
Total Difficulties Score	0-13	14-16	17-40
Emotional Symptoms Score	0-3	4	5-10
Conduct Problem Score	0-2	3	4-10
Hyperactivity Score	0-5	6	7-10
Peer Problem Score	0-2	3	4-10
Self Completed			
Total Difficulties Score	0-15	16-19	20-40
Emotional Symptoms Score	0-5	6	7-10
Conduct Problem Score	0-3	4	5-10
Hyperactivity Score	0-5	6	7-10
Peer Problem Score	0-3	4-5	6-10
Parent and Self Completed			
	'This score is close to average - clinically significant problems in this area are unlikely'	'This score is slightly low, which may reflect clinically significant problems'	'This score is low - there is a substantial risk of clinically significant problems in this area'
Prosocial Behaviour Score	6-10	5	0-4

Note: This broad classification is based on information from the <a href="www.sdqinfo.com">www.sdqinfo.com</a> web site (c) R Goodman. It is used with permission, and is intended to provide a general reference range only, while more detailed clinical interpretations are being developed with Dr. Goodman.

Graphical reports of an individual consumers SDQ scores can be printed from within the Information system.



# 6. Adult Measures

# 6.1 Health of the Nation Outcomes Scale (HoNOS)

# General rating guidelines

Rate items in order from 1 to 12

Do not include information rated in an earlier scale.

Rate the most severe problem that occurred in the period rated.

The rating period is generally the preceding two weeks for inpatients at admission, for hospital outpatients, and for all patients of community–based services. The exception is at discharge from inpatient care, in which case the rating period should generally be the preceding 72 hours.

Each item is rated on a 5-point scale of severity (0 to 4) as follows:

- 0 No problem
- 1 Minor problem requiring no formal action
- 2 Mild problem. Should be recorded in a care plan or other case record
- 3 Problem of moderate severity
- 4 Severe to very severe problem
- 7 Not stated/missing
- 9 Not known / Unable to rate

As far as possible, the use of rating point 9 should be avoided, because missing data make scores less comparable over time or between settings.

Specific help for rating each point on each item is provided in the Glossary and this should be referred to when making a rating. The table below provides outlines additional information that can guide rating.

# Adult Measures

				Monitor	Active treatment or management plan?
cally ant	0	No problem	Problem not present.	×	×
Not clinically significant	1	Minor problem	Requires no formal action. May or may not be recorded in clinical file.	Maybe	×
Clinically significant	2	Mild problem	Warrants recording in clinical notes. May or not be incorporated in care plan.	<b>√</b>	Maybe
	3	Moderate problem	Warrants recording in clinical file. Should be incorporated in care plan.	<b>√</b>	<b>√</b>
	4	Severe to very severe problem	Most severe category for patients with this problem. Warrants recording in clinical file. Should be incorporated in care plan.  Note – patient can get worse.	<b>✓</b>	✓

# **Glossary HoNOS**

# 1. Overactive, aggressive, disruptive or agitated behaviour

<u>Include</u> such behaviour due to any cause, eg. Drugs, alcohol, dementia, psychosis, depression, etc.

Do <u>not</u> include bizarre behaviour, rated at Scale 6.

- **0** No problems of this kind during the period rated.
- 1 Irritability, quarrels, restlessness etc. not requiring action.
- 2 Includes aggressive gestures, pushing or pestering others; threats or verbal aggression; lesser damage to property (e.g. broken cup or window); marked overactivity or agitation.
- **3** Physically aggressive to others or animals (short of rating 4); threatening manner; more serious overactivity or destruction of property.
- **4** At least one serious physical attack on others or on animals; destruction of property (e.g. fire–setting); serious intimidation or obscene behaviour.

# 2. Non-accidental self-injury

Do <u>not</u> include <u>accidental</u> self-injury (due eg. to dementia or severe learning disability); the cognitive problem is rated at Scale 4 and the injury at Scale 5.

Do <u>not</u> include illness or injury as a direct consequence of drug or alcohol use rated at Scale 3, (eg. cirrhosis of the liver or injury resulting from drunk driving are rated at Scale 5).

- **0** No problem of this kind during the period rated.
- 1 Fleeting thoughts about ending it all, but little risk during the period rated; no self–harm.
- 2 Mild risk during period; includes non-hazardous self-harm eg. wrist-scratching.
- **3** Moderate to serious risk of deliberate self–harm during the period rated; includes preparatory acts eg. collecting tablets.
- **4** Serious suicidal attempt or serious deliberate self–injury during the period rated.

# Adult Measures

# 3. Problem drinking or drug-taking

Do <u>not</u> include aggressive or destructive behaviour due to alcohol or drug use, rated at Scale 1.

Do not include physical illness or disability due to alcohol or drug use, rated at Scale 5.

- **0** No problem of this kind during the period rated.
- 1 Some over-indulgence, but within social norm.
- 2 Loss of control of drinking or drug-taking; but not seriously addicted.
- **3** Marked craving or dependence on alcohol or drugs with frequent loss of control, risk taking under the influence, etc.
- 4 Incapacitated by alcohol or drug problems.

# 4. Cognitive problems

<u>Include</u> problems of memory, orientation and understanding associated with any disorder: learning disability, dementia, schizophrenia, etc.

Do <u>not</u> include temporary problems (eg. hangovers) resulting from drug or alcohol use, rated at Scale 3.

- **0** No problem of this kind during the period rated.
- 1 Minor problems with memory or understanding eg. forgets names occasionally.
- 2 Mild, but definite problems, eg. has lost way in a familiar place or failed to recognise a familiar person; sometimes mixed up about simple decisions.
- **3** Marked disorientation in time, place or person, bewildered by everyday events; speech is sometimes incoherent, mental slowing.
- **4** Severe disorientation, eg. unable to recognise relatives, at risk of accidents, speech incomprehensible, clouding or stupor.

# 5. Physical illness or disability problems

<u>Include</u> illness or disability from any cause that limits or prevents movement, or impairs sight or hearing, or otherwise interferes with personal functioning.

<u>Include</u> side–effects from medication; effects of drug/alcohol use; physical disabilities resulting from accidents or self–harm associated with cognitive problems, drunk driving etc.

Do <u>not</u> include mental or behavioural problems rated at Scale 4.

- **0** No physical health problem during the period rated.
- 1 Minor health problem during the period (e.g. cold, non–serious fall, etc.).
- 2 Physical health problem imposes mild restriction on mobility and activity.
- 3 Moderate degree of restriction on activity due to physical health problem.
- 4 Severe or complete incapacity due to physical health problem.

## 6. Problems associated with hallucinations and delusions

<u>Include</u> hallucinations and delusions irrespective of diagnosis.

<u>Include</u> odd and bizarre behaviour associated with hallucinations or delusions.

Do <u>not</u> include aggressive, destructive or overactive behaviours attributed to hallucinations or delusions, rated at Scale 1.

- **0** No evidence of hallucinations or delusions during the period rated.
- 1 Somewhat odd or eccentric beliefs not in keeping with cultural norms.
- 2 Delusions or hallucinations (eg. voices, visions) are present, but there is little distress to patient or manifestation in bizarre behaviour, that is, moderately severe clinical problem.
- **3** Marked preoccupation with delusions or hallucinations, causing much distress and/ or manifested in obviously bizarre behaviour, that is a moderately severe clinical problem.
- **4** Mental state and behaviour is seriously and adversely affected by delusions or hallucinations, with severe impact on patient.

# Adult Measures

# 7. Problems with depressed mood

Do <u>not</u> include overactivity or agitation, rated at Scale 1.

Do <u>not</u> include suicidal ideation or attempts, rated at Scale 2.

Do <u>not</u> include delusions or hallucinations, rated at Scale 6.

- **0** No problems associated with depressed mood during the period rated.
- 1 Gloomy; or minor changes in mood.
- 2 Mild, but definite depression and distress: eg. feelings of guilt; loss of self-esteem.
- 3 Depression with inappropriate self-blame, preoccupied with feelings of guilt.
- **4** Severe or very severe depression, with guilt or self–accusation.

# 8. Other mental and behavioural problems

<u>Rate</u> only the most severe clinical problem not considered at items 6 and 7 as follows: specify the type of problem by entering the appropriate letter: A phobic: B anxiety; C obsessive—compulsive; D stress; E dissociative; F somatoform; G eating; H sleep; I sexual; J other, specify.

- 0 No evidence of any of these problems during period rated.
- 1 Minor non-clinical problems.
- 2 A problem is clinically present at a mild level, eg. patient/client has a degree of control.
- 3 Occasional severe attack or distress, with loss of control eg. has to avoid anxiety provoking situations altogether, call in a neighbour to help, etc. that is, a moderately severe level of problem.
- 4 Severe problem dominates most activities.

# 9. Problems with relationships

<u>Rate</u> the patient's most severe problem associated with active or passive withdrawal from social relationships, and/or non–supportive, destructive or self–damaging relationships.

- **0** No significant problems during the period.
- 1 Minor non–clinical problems.
- 2 Definite problems in making or sustaining supportive relationships: patient complains and/or problems are evident to others.

- **3** Persisting major problems due to active or passive withdrawal from social relationships, and/or to relationships that provide little or no comfort or support.
- **4** Severe and distressing social isolation due to inability to communicate socially and/or withdrawal from social relationships.

# 10. Problems with activities of daily living

<u>Rate</u> the overall level of functioning in activities of daily living (ADL): eg. problems with <u>basic activities of self-care</u> such as eating, washing, dressing, toilet; also <u>complex skills</u> such as budgeting, organising where to live, occupation and recreation, mobility and use of transport, shopping, self-development, etc.

<u>Include</u> any lack of motivation for using self–help opportunities, since this contributes to a lower overall level of functioning.

Do <u>not</u> include lack of opportunities for exercising intact abilities and skills, rated at Scale 11 and Scale 12.

- 0 No problems during period rated; good ability to function in all areas.
- 1 Minor problems only eg. untidy, disorganised.
- 2 Self–care adequate, but major lack of performance of one or more complex skills (see above).
- 3 Major problems in one or more areas of self–care (eating, washing, dressing, toilet) as well as major inability to perform several complex skills.
- 4 Severe disability or incapacity in all or nearly all areas of self-care and complex skills.

# 11. Problems with living conditions

<u>Rate</u> the overall severity of problems with the quality of living conditions and daily domestic routine.

Are the <u>basic necessities</u> met (heat, light, hygiene)? If so, is there help to cope with disabilities and a <u>choice of opportunities to use skills and develop new ones</u>?

Do <u>not</u> rate the level of functional disability itself, rated at Scale 10.

**NB:** Rate patient's <u>usual</u> accommodation. If in acute ward, rate the home accommodation. If information not obtainable, rate 9.

- **0** Accommodation and living conditions are acceptable; helpful in keeping any disability rated at Scale 10 to the lowest level possible, and supportive of self–help.
- 1 Accommodation is reasonably acceptable although there are minor or transient problems (eg. not ideal location, not preferred option, doesn't like food, etc.).

# Adult Measures

- 2 Significant problems with one or more aspects of the accommodation and/or regime (eg. restricted choice; staff or household have little understanding of how to limit disability, or how to help develop new or intact skills).
- 3 Distressing multiple problems with accommodation (eg. some basic necessities absent); housing environment has minimal or no facilities to improve patient's independence.
- 4 Accommodation is unacceptable (eg. lack of basic necessities, patient is at risk of eviction, or 'roofless', or living conditions are otherwise intolerable making patient's problems worse).

### 12. Problems with occupation and activities

<u>Rate</u> the overall level of problems with quality of day–time environment. Is there help to cope with disabilities, <u>and opportunities for maintaining or improving occupational and recreational skills and activities</u>? Consider factors such as stigma, lack of qualified staff, access to supportive facilities, eg. staffing and equipment of day centres, workshops, social clubs, etc.

Do <u>not</u> rate the level of functional disability itself, rated at Scale 10.

**NB**: Rate the patient's <u>usual</u> situation. If in acute ward, rate activities during period before admission. If information not available, rate 9.

- **0** Patient's day–time environment is acceptable; helpful in keeping any disability rated at Scale 10 to the lowest level possible, and supportive of self–help.
- 1 Minor or temporary problems eg. late pension cheques, reasonable facilities available, but not always at desired times etc.
- 2 Limited choice of activities; eg. there is a lack of reasonable tolerance (eg. unfairly refused entry to public library or baths etc.); or handicapped by lack of a permanent address; or insufficient carer or professional support; or helpful day setting available, but for very limited hours.
- 3 Marked deficiency in skilled services available to help minimise level of existing disability; no opportunities to use intact skills or add new ones; unskilled care difficult to access
- 4 Lack of any opportunity for daytime activities makes patient's problem worse.

# 6.2 Life Skills Profile (LSP-16)

# General rating guidelines

- > Complete on day of or within 24 hours of three monthly reviews in ambulatory care.
- > The rating period is the preceding 3 months
- > Assess the consumer's general functioning over the past three months
- > Do not assess functioning during crisis when the consumer was ill or becoming ill
- > Rate each scale in order from 1-16
- > Each item is noted on a 4-point scale of severity (0-3)

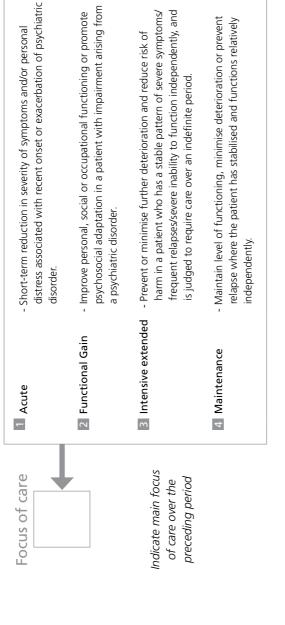
# Glossary

1	Does the person generally have difficulty with initiating and responding to conversation? Measures the ability to begin and maintain social interaction, ensuring the flow of conversation; taking turns in conversation, silence as appropriate.
2	Does the person generally withdraw from social contact? Does the person isolate themselves when part of a group? Does the person participate in leisure activities with others? Spend long hours alone watching TV or videos?
3	Does the person generally show warmth to others? Does the individual demonstrate affection, concern or understanding of situation of others?
4	Is this person generally well groomed (e.g. neatly dressed, hair combed)? Does the person use soap when washing, shave as appropriate/ use make-up appropriately, use shampoo?
5	Does this person wear clean clothes generally, or ensure that they are cleaned if dirty? Does the person recognise the need to change clothes on a regular basis? Are clothes grimy, are collars and cuffs marked, are there food stains?
6	Does this person generally neglect her or his physical health? Does the person have a medical condition for which they are not receiving appropriate treatment? Does the person lead a generally healthy lifestyle? Does the person neglect their dental health?
7	Is this person violent to others? Does the person display verbal and physical aggression to others?

# Adult Measures

8	Does this person generally make or keep friendships? Does the person identify individuals as friends? Do others identify the person as a friend? Does the person express a desire to continue to interact with others?
9	<b>Does this person generally maintain an adequate diet?</b> Does the person eat a variety of nutritious foods regularly? Do they watch their fat and fibre intake?
10	Does this person generally look after and take her or his own prescribed medication ( or attend for prescribed injections on time) without reminding?  Does the person adhere to their medication regimen as prescribed? The right amount at the right time on a regular basis? Does the person need prompting or reinforcement to adhere to their medication regimen?
11	Is this person willing to take prescribed medication when prescribed by a doctor? Does the person express an unwillingness to take medication as prescribed, bargain or inappropriately question the need for continuing medication?
12	Does this person cooperate with health services (e.g. doctors and/or other health workers)? Is the person deliberately obstructive in relation to treatment plans? Do they attend appointments, undertake therapeutic homework activities?
13	Does this person generally have problems (eg friction, avoidance) living with others in the household? Is the person identified as 'difficult to live with'? Do they have difficulty establishing or keeping to "house rules" or are they always having arguments about domestic duties?
14	Does this person behave offensively (includes sexual behaviour)? Does the person behave in a socially inept or unacceptable way demonstrating inappropriate social or sexual behaviours or communication?
15	Does this person behave irresponsibly? Does the person act deliberately in ways that are likely to inconvenience, irritate or hurt others? Does the person neglect basic social obligations?
16	What sort of work is this person generally capable of (even if unemployed, retired or doing unpaid domestic duties)? What level of assistance/guidance does the individual require to undertake occupational activities?

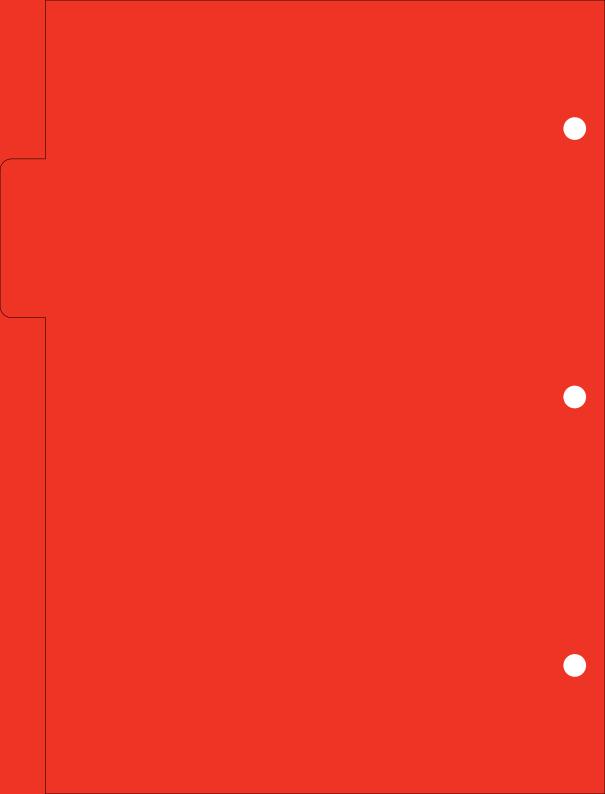
# 6.3 Focus of Care: Item Clarifications and Elaborations



# Adult Measures

	Consumer Characteristics	aracteristics			Service Requirements	S
	Symptoms	Functioning	Primary Goal	Indicative time to achieve Primary Goal	Indicative treatment intensity	Examples of typical documentation in care plan to support the rating
Acute	High & of recent onset	Low-High	Reduce symptoms	Days to weeks	Daily contact over a short period	Interventions designed to reduce the intensity of positive symptoms, (eg, reduce hallucinations and delusions, ameliorate thought disorder; reduce severity of depressive symptoms or the level of anxiety manage hostile or aggressive behaviour related to mental illness).
Functional Gain	Low	Low-Medium	Improve functioning	Weeks to months	Weekly contact, or more multiple attendances per week in a structured rehabilitation program	Interventions designed to result in a significant improvement in the consumers personal, social and/or occupational functioning in the short term (weeks to months). This may include the development of basic 'community survival' skills (eg, shopping, cooking), social skills (eg, conversation) or vocational skills (eg, job seeking or job maintenance).
Intensive Extended	High & unremitting	Low	Reduce risk that arises from symptoms and/or low functioning	Months to years	Minimum of multiple weekly contacts, more frequent as required; delivered over an indefinite period.	Inpatient- or outreach-based interventions, (the latter typically in the consumer's own environment) aimed to (1) minimise the risks and handicaps associated with the ongoing symptoms and psychosocial dysfunctions arising from a psychiatric disorder (2) strengthen the consumers capacity to use supportive professional and non-professional networks.
Maintenance	Гом	Low-High	Improve functioning	Months to years	Scheduled weekly to monthly contact	Interventions designed to consolidate the consumer's current functioning (at least in the short-term) while working toward improvement in the long-term or planning for the consumers exit from the service.

# Older Persons 65+ Measures



# 7 Older Persons 65+ Measures

# 7.1 Health of the Nation Outcomes Scale (HoNOS65+)

### General rating guidelines

- > Rate items in order from 1 to 12.
- > Do not include information already rated in an earlier item.
- > Rate the most severe problem that occurred in the period rated.
- > The rating period is generally the preceding two weeks for inpatients at admission, for hospital outpatients, and for all consumers of community-based services. The exception is at discharge from inpatient care, in which case the rating period should generally be the preceding 72 hours.

Each item is rated on a 5-point scale of severity (0 to 4) as follows:

- 0 No problem
- 1 Minor problem requiring no formal action
- 2 Mild problem. Should be recorded in a care plan or other case record
- 3 Problem of moderate severity
- 4 Severe to very severe problem
- 7 Not stated/ Missing
- 9 Not known/ unable to rate

As far as possible, the use of rating point 9 should be avoided, because missing data make scores less comparable over time or between settings.

Specific help for rating each point on each item is provided in the Glossary and this should be referred to when making a rating. The table below provides outlines additional information that can guide rating.

# Older Persons 65+ Measures

				Monitor	Active treatment or management plan?
ically ant	0	No problem	Problem not present.	×	×
Not clinically significant	1	Minor problem	Requires no formal action. May or may not be recorded in clinical file.	Maybe	×
Clinically significant	2	Mild problem	Warrants recording in clinical notes. May or not be incorporated in care plan.	<b>√</b>	Maybe
	3	Moderate problem	Warrants recording in clinical file. Should be incorporated in care plan.	✓	<b>√</b>
	4	Severe to very severe problem	Most severe category for consumers with this problem. Warrants recording in clinical file. Should be incorporated in care plan. Note – consumer can get worse.	<b>√</b>	<b>√</b>

# Glossary HoNOS 65+

1. Behavioural disturbance (eg. overactive, aggressive, disruptive or agitated behaviour, uncooperative or resistive behaviour)

<u>Include</u> such behaviour due to any cause, eg. dementia, drugs, alcohol, psychosis, depression, etc.

Do not include bizarre behaviour, rated at Scale 6.

- **0** No problems of this kind during the period rated.
- 1 Occasional irritability, quarrels, restlessness etc, but generally calm and co–operative and not requiring any specific action.
- 2 Includes aggressive gestures, pushing or pestering others; threats or verbal aggression; lesser damage to property (e.g. broken cup, window); significant overactivity or agitation; intermittent restlessness or wandering (day or night); uncooperative at times, requiring encouragement and persuasion.
- 3 Physically aggressive to others or animals (short of rating 4); more serious damage to, or destruction of, property; frequently threatening manner, more serious or persistent overactivity or agitation; frequent restlessness or wandering; significant problems with co-operation, largely resistant to help or assistance.
- 4 At least one serious physical attack on others (over and above rating of 3); major or persistent destructive activity (e.g. fire—setting); persistent and threatening behaviour; severe overactivity or agitation; sexually disinhibited or other inappropriate behaviour (e.g. deliberate inappropriate urination or defecation); virtually constant restlessness or wandering; severe problems related to non—compliant or resistive behaviour.

### 2. Non-accidental self-injury

<u>Do not</u> include <u>accidental</u> self-injury (due eg. to dementia or severe learning disability); any cognitive problem is rated at Scale 4 and the injury at Scale 5.

<u>**Do not**</u> include illness or injury as a direct consequence of drug or alcohol use rated at Scale 3, (eg. cirrhosis of the liver or injury resulting from drunk–driving are rated at Scale 5).

- **0** No problem of this kind during the period rated.
- 1 Fleeting thoughts of self–harm or suicide; but little or no risk during the period rated.
- 2 Mild risk during period; includes more frequent thoughts or talking about self–harm or suicide (including 'passive' ideas of self–harm such as not taking avoiding action in a potentially life–threatening situation, eg. while crossing a road).
- 3 Moderate to serious risk of deliberate self–harm during the period rated; includes frequent or persistent thoughts or talking about self–harm; includes preparatory behaviours, eg. collecting tablets.
- 4 Suicidal attempt or deliberate self-injury during period.

### 3. Problem drinking or drug-taking

<u>**Do not**</u> include aggressive or destructive behaviour due to alcohol or drug use, rated at Scale 1.

<u>**Do not**</u> include physical illness or disability due to alcohol or drug use, rated at Scale 5.

- **0** No problem of this kind during the period rated.
- 1 Some over–indulgence, but within social norm.
- 2 Occasional loss of control of drinking or drug-taking; but not a serious problem.
- **3** Marked craving or dependence on alcohol or drug use with frequent loss of control, drunkenness, etc.
- 4 Major adverse consequences or incapacitated due to alcohol or drug problems.

### 4. Cognitive problems

Include problems of orientation, memory, and language associated with any disorder: dementia, learning disability, schizophrenia, etc.

Do not include temporary problems (eg. hangovers) which are clearly associated with alcohol, drug or medication use, rated at Scale 3.

- **0** No problem of this kind during the period rated.
- 1 Minor problems with orientation (eg. some difficulty with orientation to time) or memory (eg. a degree of forgetfulness, but still able to learn new information), no apparent difficulties with the use of language.
- 2 Mild problems with orientation (eg. frequently disorientated to time) or memory (eg. definite problems learning new information such as names, recollection of recent events; deficit interferes with everyday activities); difficulty finding way in new or unfamiliar surroundings; able to deal with simple verbal information, but some difficulties with understanding or expression of more complex language.
- 3 Moderate problems with orientation (eg. usually disorientated to time, often place) or memory (eg. new material rapidly lost, only highly learned material retained, occasional failure to recognise familiar individuals); has lost the way in a familiar place; major difficulties with language (expressive or receptive).
- 4 Severe disorientation (eg. consistently disorientated to time and place, and sometimes to person) or memory impairment (eg. only fragments remain, loss of distant as well as recent information, unable to effectively learn any new information, consistently unable to recognise or to name close friends or relatives); no effective communication possible through language or inaccessible to speech.

### 5. Physical illness or disability problems

<u>Include</u> illness or disability from any cause that limits mobility, impairs sight or hearing, or otherwise interferes with personal functioning (eg. pain).

<u>Include</u> side–effects from medication; effects of drug/alcohol use; physical disabilities resulting from accidents or self–harm associated with cognitive problems, drunk driving etc.

<u>Do not</u> include mental or behavioural problems rated at Scale 4.

- **0** No physical health, disability or mobility problems during the period rated.
- 1 Minor health problem during the period (e.g. cold); some impairment of sight or hearing (but still able to function effectively with the aid of glasses or hearing aid).

- 2 Physical health problem associated with mild restriction of activities or mobility (eg. restricted walking distance, some degree of loss of independence); moderate impairment of sight or hearing (with functional impairment despite the appropriate use of glasses or hearing aid); some degree of risk of falling, but low and no episodes to date; problems associated with mild degree of pain.
- 3 Physical health problem associated with moderate restriction of activities or mobility (e.g. mobile only with an aid stick or zimmer frame or with help); more severe impairment of sight or hearing (short of rating 4); significant risk of falling (one or more falls); problems associated with a moderate degree of pain.
- 4 Major physical health problem associated with severe restriction of activities or mobility (e.g. chair or bed bound); severe impairment of sight or hearing (e.g. registered blind or deaf); high risk of falling (one or more falls) because of physical illness or disability; problems associated with severe pain; presence of impaired level of consciousness.

### 6. Problems associated with hallucinations and delusions

<u>Include</u> hallucinations and delusions (or false beliefs) irrespective of diagnosis.

<u>Include</u> odd and bizarre behaviour associated with hallucinations or delusions (or false beliefs).

<u>**Do not**</u> include aggressive, destructive or overactive behaviours attributed to hallucinations, delusions or false beliefs, rated at Scale 1.

- **0** No evidence of delusions or hallucinations during the period rated.
- 1 Somewhat odd or eccentric beliefs not in keeping with cultural norms.
- 2 Delusions or hallucinations (eg. voices, visions) are present, but there is little distress to consumer or manifestation in bizarre behaviour, that is, a present, but mild clinical problem.
- 3 Marked preoccupation with delusions or hallucinations, causing significant distress or manifested in obviously bizarre behaviour, that is, moderately severe clinical problem.
- **4** Mental state and behaviour is seriously and adversely affected by delusions or hallucinations, with a major impact on consumer or others.

### 7. Problems with depressive symptoms

<u>**Do not**</u> include overactivity or agitation, rated at Scale 1.

<u>Do not</u> include suicidal ideation or attempts, rated at Scale 2.

Do not include delusions or hallucinations, rated at Scale 6.

Rate associated problems (eg. changes in sleep, appetite or weight; anxiety symptoms) at Scale 8

- **0** No problems associated with depression during the period rated.
- 1 Gloomy or minor changes in mood only.
- 2 Mild but definite depression on subjective or objective measures (eg. loss of interest or pleasure, lack of energy, loss of self–esteem, feelings of guilt).
- 3 Moderate depression on subjective or objective measures (depressive symptoms more marked).
- **4** Severe depression on subjective or objective grounds (eg. profound loss of interest or pleasure, preoccupation with ideas of guilt or worthlessness).

### 8. Other mental and behavioural problems

<u>Rate</u> only the most severe clinical problem <u>not</u> considered at Scales 6 and 7 as follows: specify the type of problem by entering the appropriate letter: A phobic: B anxiety; C obsessive—compulsive; D stress; E dissociative; F somatoform; G eating; H sleep; I sexual; J other, specify.

- **0** No evidence of any of these problems during period rated.
- 1 Minor non–clinical problems.
- 2 A problem is clinically present, but at a mild level, for example the problem is intermittent, the consumer maintains a degree of control or is not unduly distressed.
- **3** Moderately severe clinical problem, eg. more frequent, more distressing or more marked symptoms.
- **4** Severe persistent problems which dominates or seriously affects most activities.

### 9. Problems with relationships

Problems associated with social relationships, identified by the consumer or apparent to carers or others. <u>Rate</u> the patient's most severe problem associated with active or passive withdrawal from, or tendency to dominate, social relationships or non–supportive, destructive or self–damaging relationships.

- **0** No significant problems during the period.
- 1 Minor non-clinical problems.
- 2 Definite problems in making, sustaining or adapting to supportive relationships (eg. because of controlling manner, or arising out of difficult, exploitative or abusive relationships), definite, but mild difficulties reported by patient or evident to carers or others.
- 3 Persisting significant problems with relationships; moderately severe conflicts or problems identified within the relationship by the patient or evident to carers or others
- 4 Severe difficulties associated with social relationships (eg. isolation, withdrawal, conflict, abuse); major tensions and stresses (eg. threatening breaking down of relationship).

### 10. Problems with activities of daily living

<u>Rate</u> the overall level of functioning in activities of daily living (ADL): eg. problems with <u>basic activities of self-care</u> such as eating, washing, dressing, toilet; also <u>complex skills</u> such as budgeting, recreation and use of transport, etc.

<u>Include</u> any lack of motivation for using self-help opportunities, since this contributes to a lower overall level of functioning.

<u>**Do not**</u> include lack of opportunities for exercising intact abilities and skills, rated at Scales 11 and 12.

- **0** No problems during period rated; good ability to function effectively in all basic activities (eg. continent or able to manage incontinence appropriately, able to feed self and dress) and complex skills (eg. driving or able to make use of transport facilities, able to handle financial affairs appropriately).
- 1 Minor problems only without significantly adverse consequences, for example, untidy, mildly disorganised, some evidence to suggest minor difficulty with complex skills, but still able to cope effectively.

- 2 Self-care and basic activities adequate (though some prompting may be required), but difficulty with more complex skills (eg. problem organising and making a drink or meal, deterioration in personal interest especially outside the home situation, problems with driving, transport or financial judgements).
- 3 Problems evident in one or more areas of self–care activities (eg. needs some supervision with dressing and eating, occasional urinary incontinence or continent only if toileted) as well as inability to perform several complex skills.
- 4 Severe disability or incapacity in all or nearly all areas of basic and complex skills (eg. full supervision required with dressing and eating, frequent urinary or faecal incontinence).

### 11. Problems with living conditions

<u>Rate</u> the overall severity of problems with the quality of living conditions, accommodation and daily domestic routine, taking into account the patient's preferences and degree of satisfaction with circumstances.

Are the <u>basic necessities</u> met (heat, light, hygiene)? If so, does the physical environment contribute to maximising independence and minimising risk, and provide a choice of opportunities to facilitate the use of existing skills and develop new ones?

**Do not** rate the level of functional disability itself, rated at Scale 10.

**NB:** Rate patient's <u>usual</u> accommodation. If in acute ward, rate the home accommodation. If information not obtainable, rate 9.

- **0** Accommodation and living conditions are acceptable; helpful in keeping any disability rated at Scale 10 to the lowest level possible and minimising any risk, and supportive of self–help; the patient is satisfied with their accommodation.
- 1 Accommodation is reasonably acceptable with only minor or transient problems related primarily to the patient's preferences rather than any significant problems or risks associated with their environment (eg. not ideal location, not preferred option, doesn't like food).
- 2 Basics are met, but significant problems with one or more aspects of the accommodation or regime (eg. lack of proper adaptation to optimise function relating for instance to stairs, lifts or other problems of access); may be associated with risk to patient (eg. injury) which would otherwise be reduced.

- **3** Distressing multiple problems with accommodation; eg. some basic necessities are absent (unsatisfactory or unreliable heating, lack of proper cooking facilities, inadequate sanitation); clear elements of risk to the patient resulting from aspects of the physical environment.
- 4 Accommodation is unacceptable: eg. lack of basic necessities, insecure, or living conditions are otherwise intolerable, contributing adversely to the patient's condition or placing them at high risk of injury or other adverse consequences.

### 12. Problems with occupation and activities

<u>Rate</u> the overall level of problems with quality of day—time environment. Is there help to cope with disabilities, <u>and opportunities for maintaining or improving occupational and recreational skills and activities?</u> Consider factors such as stigma, lack of qualified staff, lack of access to supportive facilities, eg. staffing and equipment of day centres, social clubs, etc.

<u>Do not</u> rate the level of functional disability itself, rated at Scale 10.

**NB:** Rate the patient's <u>usual</u> situation. If in acute ward, rate activities during period before admission. If information not available, rate 9.

- **0** Patient's day–time environment is acceptable; helpful in keeping any disability rated at Scale 10 to the lowest level possible, and maximising autonomy.
- 1 Minor or temporary problems, eg. good facilities available, but not always at appropriate times for the patient.
- 2 Limited choice of activities; eg. insufficient carer or professional support, useful day setting available, but for very limited hours.
- 3 Marked deficiency in skilled services and support available to help optimise activity level and autonomy, little opportunity to use skills or to develop new ones; unskilled care difficult to access.
- 4 Lack of any effective opportunity for daytime activities makes the patient's problems worse or patient refuses services offered which might improve their situation.

### 7.2 Life Skills Profile (LSP-16)

### General rating guidelines

- > Complete on day of or within 24 hours of three monthly reviews in ambulatory care.
- > The rating period is the preceding 3 months
- > Assess the consumer's general functioning over the past three months
- > Do not assess functioning during crisis when the consumer was ill or becoming ill
- > Rate each scale in order from 1-16
- > Each item is noted on a 4-point scale of severity (0-3)

### Glossary

1	Does the person generally have difficulty with initiating and responding to conversation? Measures the ability to begin and maintain social interaction, ensuring the flow of conversation; taking turns in conversation, silence as appropriate.
2	Does the person generally withdraw from social contact? Does the person isolate themselves when part of a group? Does the person participate in leisure activities with others? Spend long hours alone watching TV or videos?
3	Does the person generally show warmth to others? Does the individual demonstrate affection, concern or understanding of situation of others?
4	Is this person generally well groomed (e.g. neatly dressed, hair combed)? Does the person use soap when washing, shave as appropriate/ use make-up appropriately, use shampoo?
5	Does this person wear clean clothes generally, or ensure that they are cleaned if dirty? Does the person recognise the need to change clothes on a regular basis? Are clothes grimy, are collars and cuffs marked, are there food stains?
6	Does this person generally neglect her or his physical health? Does the person have a medical condition for which they are not receiving appropriate treatment? Does the person lead a generally healthy lifestyle? Does the person neglect their dental health?
7	<b>Is this person violent to others?</b> Does the person display verbal and physical aggression to others?

8	Does this person generally make or keep friendships? Does the person identify individuals as friends? Do others identify the person as a friend? Does the person express a desire to continue to interact with others?
9	Does this person generally maintain an adequate diet? Does the person eat a variety of nutritious foods regularly? Do they watch their fat and fibre intake?
10	Does this person generally look after and take her or his own prescribed medication (or attend for prescribed injections on time) without reminding? Does the person adhere to their medication regimen as prescribed? The right amount at the right time on a regular basis? Does the person need prompting or reinforcement to adhere to their medication regimen?
11	Is this person willing to take prescribed medication when prescribed by a doctor? Does the person express an unwillingness to take medication as prescribed, bargain or inappropriately question the need for continuing medication?
12	Does this person cooperate with health services (e.g. doctors and/or other health workers)? Is the person deliberately obstructive in relation to treatment plans? Do they attend appointments, undertake therapeutic homework activities?
13	Does this person generally have problems (eg friction, avoidance) living with others in the household? Is the person identified as 'difficult to live with'? Do they have difficulty establishing or keeping to "house rules" or are they always having arguments about domestic duties?
14	Does this person behave offensively (includes sexual behaviour)? Does the person behave in a socially inept or unacceptable way demonstrating inappropriate social or sexual behaviours or communication?
15	Does this person behave irresponsibly? Does the person act deliberately in ways that are likely to inconvenience, irritate or hurt others? Does the person neglect basic social obligations?
16	What sort of work is this person generally capable of (even if unemployed, retired or doing unpaid domestic duties)? What level of assistance/guidance does the individual require to undertake occupational activities?

# 7.3 Focus of Care: Item Clarifications and Elaborations

# distress associated with recent onset or exacerbation of psychiatric psychosocial adaptation in a patient with impairment arising from harm in a patient who has a stable pattern of severe symptoms/ frequent relapses/severe inability to function independently, and - Improve personal, social or occupational functioning or promote - Maintain level of functioning, minimise deterioration or prevent relapse where the patient has stabilised and functions relatively Short-term reduction in severity of symptoms and/or personal 3 Intensive extended - Prevent or minimise further deterioration and reduce risk of is judged to require care over an indefinite period. a psychiatric disorder. independently. disorder. 2 Functional Gain 4 Maintenance 1 Acute Indicate main focus Focus of care preceding period of care over the

	Consumer Characteristics	racteristics			Service Requirements	S
	Symptoms	Functioning	Primary Goal	Indicative time to achieve Primary Goal	Indicative treatment intensity	Examples of typical documentation in care plan to support the rating
Acute	High & of recent onset	Low-High	Symptoms symptoms	Days to weeks	Daily contact over a short period	Interventions designed to reduce the intensity of positive symptoms, (eg, reduce hallucinations and delusions, ameliorate thought disorder; reduce severity of depressive symptoms or the level of anxiety manage hostile or aggressive behaviour related to mental illness).
Functional Gain	Low	Low-Medium	functioning functioning	Weeks to months	Weekly contact, or more multiple attendances per week in a structured rehabilitation program	Interventions designed to result in a significant improvement in the consumers personal, social and/or occupational functioning in the short term (weeks to months). This may include the development of basic 'community survival' skills (eg, shopping, cooking), social skills (eg, conversation) or vocational skills (eg, job seeking or job maintenance).
Intensive Extended	High & unremitting	Low	Reduce risk that arises from symptoms and/or low functioning	Months to years	Minimum of multiple weekly contacts, more frequent as required; delivered over an indefinite period.	Inpatient- or outreach-based interventions, (the latter typically in the consumer's own environment) aimed to (1) minimise the risks and handicaps associated with the ongoing symptoms and psychosocial dysfunctions arising from a psychiatric disorder (2) strengthen the consumers capacity to use supportive professional and non-professional networks.
Maintenance	Low	Low-High	Improve functioning	Months to years	Scheduled weekly to monthly contact	Interventions designed to consolidate the consumer's current functioning (at least in the short-term) while working toward improvement in the long-term or planning for the consumers exit from the service.

# 7.4 RUGADL - Resource Utilisation Groups - Activities of Daily Living

### General rating guidelines

Record what the person actually does, not what they are capable of doing. That is, record their poorest performance during the period rated.

Do not omit any ratings unless the person is deceased.

It is essential that the rater knows what behaviours and tasks are contained within each scale and has a "working knowledge" of the scale.

### Note that a rating of "2" is not included in the domain of valid ratings.

### Glossary

### 1. Bed Mobility

Ability to move in bed after the transfer into bed has been completed.

- 1 <u>Independent / supervision:</u> Is able to readjust position in bed, and perform own pressure area relief, through spontaneous movement around bed or with prompting from carer. No hands—on assistance is required. May be independent with the use of a device.
- 3 <u>Limited assistance:</u> Is able to readjust position in bed, and perform own pressure area relief, with the assistance of one person.
- 4 <u>Other than Two–Person:</u> Requires use of a hoist or other assisting device to readjust position in bed and physical assist pressure relief. Still requires the assistance of only one person for task.
- 5 <u>Two-Person Physical Assist:</u> Requires two assistants to readjust position, and perform own pressure area relief.

### 2. Toileting

Includes mobilising to the toilet, adjustment of clothing before and after toileting and maintaining perineal hygiene without the incidence of incontinence or soiling of clothes.

If the person cares for the catheter or other device independently and is independent on all other tasks, rate 1.

1 <u>Independent / supervision:</u> Is able to mobilise to the toilet, adjust clothing, cleans self, has no incontinence or soiling of clothing. All tasks performed independently or with prompting from carer. No hands on assistance required. May be independent with the use of device.

- 3 <u>Limited Assistance</u>: Requires hands on assistance of one person for one or more of the tasks.
- 4 <u>Other than Two–Person:</u> Requires the use of a catheter, uridome or urinal, or a colostomy, bedpan or commode chair, or insertion of enema or suppository. Requires the assistance of one person for the management of the device.
- 5 <u>Two-Person Physical Assist:</u> Requires two assistants to perform any step of the task

### 3. Transfer

Includes the transfer in and out of bed, bed to chair, in and out of shower or tub.

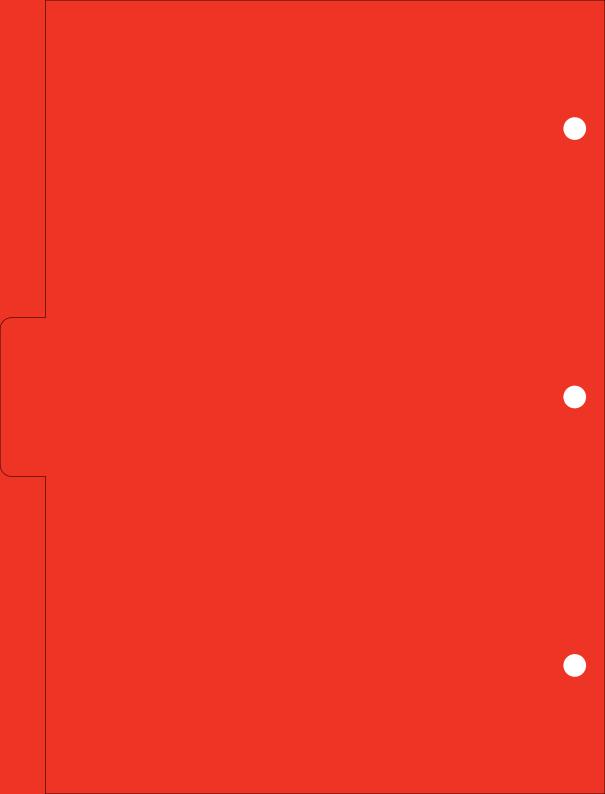
- 1 <u>Independent / Supervision:</u> Is able to perform all transfers independently or with prompting from carer. No hands-on assistance required. May be independent with the use of a device.
- 3 <u>Limited Assistance</u>: Requires hands on assistance of one person to perform any transfer of the day or night.
- **4** Other than Two–Person: Requires the use of a device for any of the transfers performed in the day or night.
- 5 <u>Two-Person Physical Assist:</u> Requires two persons to perform any transfer in the day or night.

### 4. Eating

Includes the tasks of cutting food, bringing food to the mouth and the chewing and swallowing of food. Does not include preparation of the meal.

- 1 <u>Independent / Supervision:</u> Is able to cut, chew and swallow food, independently or with supervision, once meal has been presented in the customary fashion. No hands on assistance required. If individual relies on parenteral or gastrostomy feeding which he or she administers him or her self then rate 1.
- 2 <u>Limited assistance</u>: Requires hands on assistance of one person to set—up or assist in bringing food to mouth, or requires food to be modified (soft or staged diet).
- 3 Extensive Assistance / Total Dependence / Tube Fed: Person needs to fed meal by assistant, or if the individual does not eat or drink full meals by mouth, but relies on parenteral or gastrostomy feeding and does not administer feeds by him or her self.

# Additional Data Items



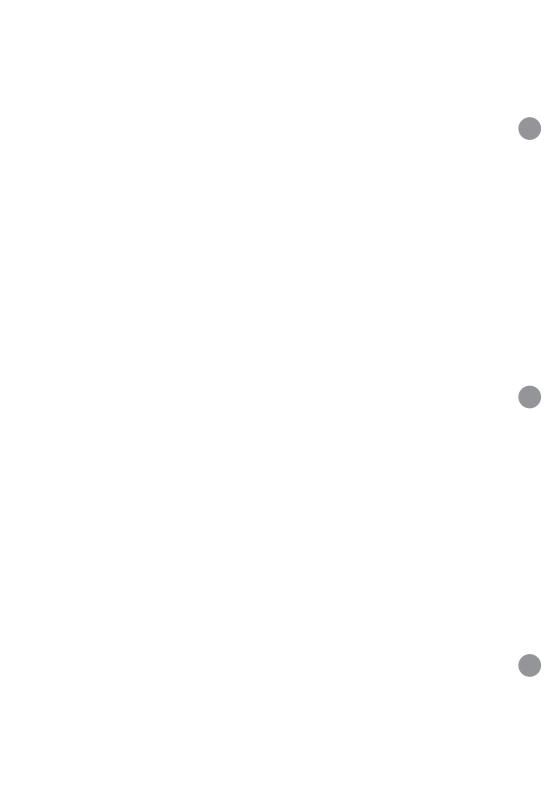
### 8 Additional Data Items

### 8.1 Principal and Additional Diagnoses

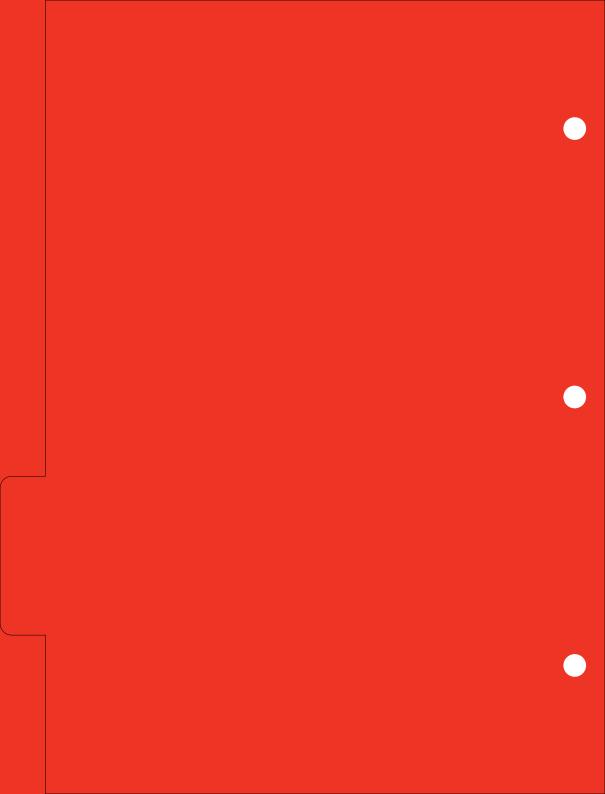
The *Principal Diagnosis* is the diagnosis established after study to be chiefly responsible for occasioning the patient or client's care in the period of care preceding the *Collection Occasion*. Additional Diagnoses identify main secondary diagnoses that affected the person's care during the period in terms of requiring therapeutic intervention, clinical evaluation, extended management, or increased care or monitoring. Up to two *Additional Diagnoses* may be recorded.

### 8.2 Mental Health Legal Status

This item is used to indicate whether the person was treated on an involuntary basis under the relevant State or Territory mental health legislation, at some point during the period preceding the *Collection* Occasion.



ICD-10 AM – International Statistical Classification of Diseases and related health problems



9 ICD-10 AM – International Statistical Classification of Diseases and related health problems, Australian Modification Code list

The following Internet links can be accessed for a complete current code list of the ICD-10 AM code list:

The World Health Organisation (WHO):

http://www.who.int/classifications/icd/en/

The South Australian code list endorsed by SA Health Integrated South Australian Activity Collection (ISAAC):

http://www.dh.sa.gov.au/isaac/

The NCCH (National Centre for Classification in Health) is responsible for producing and updating ICD-10-AM in Australia under contract from The Australian Department of Health and Ageing, holder of the WHO licence to create an Australian version of ICD-10.

http://nis-web.fhs.usyd.edu.au/ncch\_new/

Whilst DSM is not endorsed nor the National Diagnosis standard, for historical reference the following link is provided for information:

http://www.nzhis.govt.nz/moh.nsf/pagesns/255?Open

This link provides mapping tables between DSM-IV, ICD-9-CM-A and ICD-10-AM, as signed off by the New Zealand Health Authorities and is therefore for information only.

### 9.1 Mental Health Diagnosis (ICD-10-AM)

These codes, primarily from ICD10-AM will only be used as a statistical grouping and not as an indication of clinical diagnosis on which to make clinical decisions. These represent the most often-used mental health diagnosis groups (from the ICD-10 code list, sixth edition, June 2008) and are not intended as a comprehensive list of codes.

More detailed diagnostic codes are available later in this Section and for additional information refer to ICD10-AM Mental Health Manual.

Where the code has been identified in the ICD-10 listing as an unacceptable Primary diagnosis code then this is indicated in the list to follow with an asterix.

The information systems may not contain all the codes as listed here as they currently have the most commonly used codes. However if there is a need for a code to be added this can be done by making a request to the information system administrator.

### Mental and behavioural disorders (F00-F99)

It is expected that a Principal diagnosis will be a "F" code.

Organic, including symptomatic, mental disorders

F00 Dementia in Alzheimers disease

F00.0\* Early dementia in Alzheimers dis

F00 1\* Late dementia in Alzheimers dis

F00.2\* Alzheimers dementia atypic / mixed

F00.9\* Alzheimers dementia unsp

F01 Vascular dementia

F01.9 Vascular dementia unspecified

F02 Dementia in oth dis classified elsewhere

F03 Unspecified dementia

F04 Orgnc amnes synd not dt alco & oth subs

F05 Delirium not dt alco & oth psyact subs

F06 Oth ment disrd dt brain damage dysf dis

F07 Person & beh disrd dt brain dis dam dysf

F09 Unsp orgnc or symptomatic mental disrd

### F10-F19

Mental and Behavioural disorders due to psychactive substance abuse

F10 Mental & behavioural disrd dt alcohol

F10.0 Ment & beh disrd dt alcohol use ac intox

F10.5 Ment & beh disrd dt alco use psych disrd

F10.9 Ment beh disrd dt alco? ment beh disrd

F11 Mental & behavioural disrd dt opioid use

F11.0 Ment & beh disrd dt opioid use ac intox

F11.5 Ment & beh disrd dt opioids psych disrd

F11.9 Ment & beh disrd dt opd ? ment beh disrd

F12 Ment & beh disrd dt use of cannab

F12.0 Ment & beh disrd dt cannab use ac intox

F12.5 Ment & beh disrd dt cannab psych disrd

F12.9 Ment & beh disrd cannab? ment beh disrd

F13 Ment & beh disrd dt sedatives hypnotics

F13.0 Ment & beh disrd dt sed hypn ac intox

F13.5 Ment & beh disrd dt sed hypn psych dis

F13.9 Ment beh disrd sed hypn? ment beh disrd

F14 Ment & beh disorders dt use cocaine

F14.0 Ment & beh disrd dt use cocaine ac intox

F14.5 Ment & beh disrd dt cocaine psych disrd

F14.9 Ment beh disrd cocaine ? ment beh disrd

1 14.5 Ment ben dista cocaine : ment ben di

F15 Ment & beh disrd dt stimt incl cafeine

F15.0 Ment & beh disrd dt stimulants ac intox

F15.5 Ment & beh disrd dt stimt psych disrd

F15.9 Ment beh disrd dt stimt ? ment beh disrd

F16 Ment & beh disrd dt use hallucinogens

F16.0 Ment & beh disrd dt hallucin ac intox

F16.5	Ment & beh disrd dt hallucin psych dis	F25.8	Other schizoaffective disorders
F16.9	Ment beh disrd hallucin ? ment beh disrd	F25.9	Schizoaffective disorder unspecified
F17	Ment & beh disrd dt use tobacco	F28	Other nonorganic psychotic disorders
F17.0	Ment & beh disrd dt use tobacco ac intox	F29	Unspecified nonorganic psychosis
F17.5	Ment & beh disrd dt tobacco psych disrd	F30-F3	39
F17.9	Ment beh disrd dt tbcc ? ment beh disrd		affective disorders
F18	Ment & beh disrd dt use volatile solv	F30	Manic episode
F18.0	Ment & beh disrd dt vol solv ac intox	F30.0	Hypomania
F18.5	Ment & beh disrd vol solv psych disrd	F30.9	Manic episode unspecified
F18.9	Ment beh disrd vol solv ? ment beh disrd	F31	Bipolar affective disorder
F19	Ment & beh disrd mult drug & psyact subs	F31.0	Bipolar affective disrd curr hypomanic
F19.0	Ment & beh disrd mult dr psyact ac intox	F31.1	Bipol aff disrd curr manic wo psych sym
F19.5	Ment & beh dis mult dr psyact psych dis	F31.2	Bipol aff disrd curr manic w psych sym
F19.9	Ment beh disrd mult dr psyact ? ment beh	F31.3	Bipol aff disrd curr mild / mod depres
F20-F2	29	F31.4	Bipol aff disrd sev depres wo psych sym
Schizo	phrenia, schizotypal and	F31.7	Bipolar aff disrd curr in remission
delusi	onal disorders	F31.9	Bipolar affective disorder unspecified
F20	Schizophrenia	F32	Depressive episode
F20.0	Paranoid schizophrenia	F32.00	Mild depres ep not in postnatal period
F20.1	Hebephrenic schizophrenia	F32.1	Moderate depressive episode
F20.2	Catatonic schizophrenia	F32.20	) Sev depres ep wo psych sym not postnata
	•		) Sev depres ep w psych sym not postnatal
	·	F32.90	Depres ep not in the postnatal period
	•	F33	Recurrent depressive disorder
	•	F33.9	Recurrent depressive disorder unsp
	•	F34	Persistent mood [affective] disorders
			Cyclothymia
	• •	F34.1	Dysthymia
		F34.9	
			Other mood [affective] disorders
		F39	Unspecified mood [affective] disorder
		F40-F4	18
		Neuro	tic, stress related and
		somat	oform disorders
		F40	Phobic anxiety disorders
		F40.0	Agoraphobia
			Agoraphobia wo mention panic disorder
		F40.01	Agoraphobia with panic disorder
F25.2	Schizoaffective disorder mixed type	F40.1	Social phobias
	F16.9 F17 F17.0 F17.5 F17.9 F18 F18.0 F18.5 F19.9 F19.0 F19.5 F19.9 F20.F2 Schized delusic F20.0 F20.1 F20.2 F20.0 F20.1 F20.2 F20.3 F20.4 F20.5 F20.6 F20.8 F20.9 F21 F22 F22.0 F22.9 F23 F23.2 F23.9 F24 F25.0 F25.0 F25.1	F17 Ment & beh disrd dt use tobacco F17.0 Ment & beh disrd dt use tobacco ac intox F17.5 Ment & beh disrd dt tobacco psych disrd F17.9 Ment beh disrd dt tobacco psych disrd F18 Ment & beh disrd dt use volatile solv F18.0 Ment & beh disrd dt vol solv ac intox F18.5 Ment & beh disrd vol solv psych disrd F18.9 Ment beh disrd vol solv ? ment beh disrd F19 Ment & beh disrd mult drug & psyact subs F19.0 Ment & beh disrd mult dr psyact ac intox F19.5 Ment & beh disrd mult dr psyact psych dis F19.9 Ment & beh disrd mult dr psyact psych dis F19.9 Ment & beh disrd mult dr psyact? ment beh F20-F29 Schizophrenia, schizotypal and delusional disorders F20 Schizophrenia F20.0 Paranoid schizophrenia F20.1 Hebephrenic schizophrenia F20.2 Catatonic schizophrenia F20.3 Undifferentiated schizophrenia F20.4 Post-schizophrenia F20.5 Residual schizophrenia F20.6 Simple schizophrenia F20.8 Other schizophrenia F20.9 Schizophrenia unspecified F21 Schizotypal disorder F22 Persistent delusional disorders F22.0 Delusional disorder F22.9 Persistent delusional disorder unsp F23 Acute and transient psychotic disorders F23.2 Ac schizophrenia-like psychotic disorder F23.9 Acute & transient psychotic disorder F24 Induced delusional disorder F25 Schizoaffective disorder manic type	F16.9 Ment beh disrd hallucin ? ment beh disrd F17 Ment & beh disrd dt use tobacco F17.0 Ment & beh disrd dt use tobacco ac intox F19.0 Ment & beh disrd dt tobacco psych disrd F17.1 Ment & beh disrd dt tobacco psych disrd F17.9 Ment beh disrd dt toc ? ment beh disrd Mood F18.0 Ment & beh disrd dt use volatile solv F18.0 Ment & beh disrd dt vol solv ac intox F18.5 Ment & beh disrd vol solv psych disrd F18.9 Ment beh disrd vol solv ? ment beh disrd F19.0 Ment & beh disrd mult drug & psyact subs F19.0 Ment & beh disrd mult dr psyact ac intox F19.5 Ment & beh disrd mult dr psyact psych dis F19.9 Ment beh disrd mult dr psyact psych dis F19.9 Ment beh disrd mult dr psyact ? ment beh F20-F29 Schizophrenia, schizotypal and delusional disorders F20.0 Schizophrenia F20.1 Hebephrenic schizophrenia F20.2 Catatonic schizophrenia F20.2 Catatonic schizophrenia F20.3 Undifferentiated schizophrenia F20.4 Post-schizophrenia depression F20.5 Residual schizophrenia F20.6 Simple schizophrenia F20.8 Other schizophrenia F20.9 Schizophrenia unspecified F21 Schizotypal disorder F22 Persistent delusional disorders F33.9 F24 Acute and transient psychotic disorders F23.2 Ac schizophrenia-like psychotic disorder F23.3 Acute and transient psychotic disorder F23.4 Induced delusional disorder F23.5 Schizoaffective disorder F25.6 Schizoaffective disorder manic type F25.7 Schizoaffective disorder manic type F25.8 Schizoaffective disorder manic type F25.9 Schizoaffective disorder manic type F25.0 Schizoaffective disorder manic type F25.1 Schizoaffective disorder manic type F26.2 Schizoaffective disorder manic type F26.3 Schizoaffective disorder manic type F26.4 Schizoaffective disorder manic type F27.0 Schizoaffective disorder manic type F28.0 Schizoaffective disorder manic type F28.1 Schizoaffective disorder manic type F28.1 Schizoaffective disorder manic type F28.2 Schizoaffective disorder manic type F28.3 Schizoaffective disorder manic type F28.4 Schizoaffective disorder manic type F28.5 Schizoaffective disorder manic type F28.6 Schizoaffecti

# ICD-10 AM — International Statistical Classification

F40.2	Specific (isolated) phobias	F60-F6	59
F40.8	Other phobic anxiety disorders	Disord	ders of adult personality and behaviour
F40.9	Phobic anxiety disorder unspecified	F60.0	Paranoid personality disorder
F41	Other anxiety disorders		Schizoid personality disorder
F41.0	Panic disrd [ep paroxysmal anxiety]		Dissocial personality disorder
F41.1	Generalized anxiety disorder		Emotionally unstable personality disrd
F41.8	Other specified anxiety disorders		Emotion unstable person disrd impulsive
F41.9	Anxiety disorder unspecified		I Emotion unstable person disrd borderline
F42	Obsessive-compulsive disorder		Histrionic personality disorder
F42.9	Obsessive-compulsive disorder unsp	F60.5	Anankastic personality disorder
F43.0	Acute stress reaction		Anxious [avoidant] personality disorder
F43.1	Post traumatic stress disorder		Dependent personality disorder
F43.2	Adjustment disorders	F60.8	Other specific personality disorders
F43.8	Other reactions to severe stress	F60.9	Personality disorder unspecified
F43.9	Reaction to severe stress unspecified	F61	Mixed and other personality disorders
F44.4-	F44.7	F62	Enduring person changes not dt
Dissoc	iative disorders of movement		br damage
and se	ensation	F63	Habit and impulse disorders
F44	Dissociative [conversion] disorders	F63.0	Pathological gambling
F45.0	Somatization disorder	F63.9	Habit and impulse disorder unspecified
F45.1	Undifferentiated somatoform disorder	F64	Gender identity disorders
F45.2	Hypochondriacal disorder	F65	Disorders of sexual preference
F45.3	Somatoform autonomic dysfunction	F66	Psychol beh disrd ass sex devt orientn
F45.8	Other somatoform disorders	F68	Oth disrd adult personality & behaviour
F45.9	Somatoform disorder unspecified	F69	Unsp disrd adult personality & behaviour
F48	Other neurotic disorders	F70-F7	79
F48.9	Neurotic disorder unspecified	Menta	al retardation
F50-F5	59	F70	Mild mental retardation
Behav	rioural syndromes associated with	F70.8	Mild mental retard other impaired beh
	ological disturbances	F71	Moderate mental retardation
F50	Eating disorders	F72	Severe mental retardation
F50.9	Eating disorder unspecified	F73	Profound mental retardation
F51	Nonorganic sleep disorders	F78	Other mental retardation
F52	Sexual dysf not dt organic disrd or dis	F79	Unspecified mental retardation
F53	Ment & beh disrd ass w puerperium NEC	F80-F8	39
F54	Psychol & beh fct ass w disrd dis cl/e		ders of psychological development
F55	Harmful use nondependence substances	F80	Spec development disrd speech
F59	Unsp beh synd w physl disturb phys fct		& language

F81 F81.9	Spec development disrd scholastic skills Development disrd scholastic skills unsp	F91.3 F92	Oppositional defiant disorder Mixed disorders of conduct and emotions
F82	Spec development disorder	F93	Emotional disorders childhood onset
F83	Mixed specific developmental disorders	F93.9 F94	Childhood emotional disorder unsp  Disrd social function child & adol onset
F84	Pervasive developmental disorders	F95	Tic disorders
F84.5	Aspergers syndrome	F98	Oth beh & emot disrd child & adol onset
F88 F89	Oth disorders psychological development	F98.9	Unsp beh & emot disrd child & adol onset
	Unsp disorder psychological development		adoi onset
F90-F9		Unspe	cified Mental disorder
	ioural and emotional disorders with usually occurring in childhood	F99	Mental disorder not otherwise specified
F90	Hyperkinetic disorders		
F91	Conduct disorders		

# 9.2 Other conditions from ICD-10 AM often associated with Mental and Behavioural disorders (A00 – Y98)

A00-B99	E00-E90
Certain Infectious and Parasitic diseases	Endocrine nutritional and metabolic diseases
A50 Congenital syphilis	E00 Congenital iodine-deficiency syndrome
A52 Late syphilis	E01 lodine-def-rel thyroid disrd allied cond
A81 Atypical virus infections of CNS	E02 Subclinical iodine-def hypothyroidism
B20-B94	E03 Other hypothyroidism
Human immunodeficiency virus (HIV) disease	E05 Thyrotoxicosis [hyperthyroidism]
B22 HIV resulting in other spec diseases	E15 Nondiabetic hypoglycaemic coma
C00-D48	E22 Hyperfunction of pituitary gland
Neoplasms	E23 Hypofunction & oth disrd pituitary gland
C70 Malignant neoplasm of meninges	E24 Cushings syndrome
C71 Malignant neoplasm of brain	E30 Disorders of puberty NEC
C72 Malg neoplm spin cd cran nerve &	E34 Other endocrine disorders
oth CNS	E51 Thiamine deficiency
D33 Benign neoplasm brain & other parts CNS	E64 Sequelae maln & oth nutritional def
D42 Neoplm uncertain or unknown	E66 Obesity
beh meninges	E70 Disorders aromatic amino-acid
D43 Neoplasm unc / unk beh brain & CNS	metabolism
	E71 Disrd br-chain amn-acd & fatty acd metab

## ICD-10 AM — International Statistical Classification

E74	Other disorders carbohydrate metabolism	160	Subarachnoid haemorrhage
E80	Disrd porphyrin & bilirubin metabolism	161	Intracerebral haemorrhage
G00-G	99	162	Oth nontraumatic intracranial haem
Diseas	ses of the nervous system	163	Cerebral infarction
G00	Bacterial meningitis NEC	164	Stroke not spec haemorrhage or infrct
G02	Mengits in oth infect & parasit dis cl/e	165	Occlus precereb art no cereb infrct
G03	Meningitis dt other & unspecified causes	166	Occlus stenos cereb art no cereb infrct
G04	Encephalitis, myelitis encephalomyelitis	167	Other cerebrovascular diseases
G06	Intracranial intraspinal abs & granuloma	169	Sequelae of cerebrovascular disease
G10	Huntingtons disease	195	Hypotension
G11	Hereditary ataxia	J00-J9	9
G20	Parkinsons disease	Diseas	ses of the respiratory system
G21	Secondary parkinsonism	J10	Influenza dt other id influenza virus
G24	Dystonia	J11	Influenza virus not identified
G25	Oth extrapyramidal & movement	J42	Unspecified chronic bronchitis
	disorders	J43	Emphysema
G31	Other degenerative dis nervous sys NEC	J45	Asthma
G32	Oth degen disrd nervous sys in dis cl/e	K00-K	.93
G35	Multiple sclerosis	Diseas	ses of the digestive system
G37	Other demyelinating diseases of CNS	K25	Gastric ulcer
G40	Epilepsy	K26	Duodenal ulcer
G41	Status epilepticus	K27	Peptic ulcer site unspecified
G43	Migraine	K29	Gastritis and duodenitis
G44	Other headache syndromes	K30	Dyspepsia
G45	TIAs (cerebral) & related syndromes	K58	Irritable bowel syndrome
G47	Sleep disorders	K59	Other functional intestinal disorders
G70	Myasthenia gravis & oth myoneural disrd	K70	Alcoholic liver disease
G91	Hydrocephalus	K71	Toxic liver disease
G92	Toxic encephalopathy	K86	Other diseases of pancreas
G93	Other disorders of brain	L00-L9	99
G97	Postproc disorders of nervous	Diseases of the skin and subcutaneous	
	system NEC	L20	Atopic dermatitis
H60-H	95	L98	Oth disrd skin & subcutaneous tis NEC
Diseas	ses of the ear and mastoid process	M00-I	VI99
H93	Other disorders of ear NEC		ses of the musculoskeletal system and
100-199	9		ective tissue
Diseas	ses of the circulatory system	M32	Systemic lupus erythematosus

M54 Dorsalgia

Essential (primary) hypertension

110

N00-l		R83	Abnormal findings in cerebrospinal fluid
	ses of the Genitourinary system	R90 R94	Abn findings on dx imaging of CNS Abnormal results of function studies
N48	Other disorders of penis		
N91	Absent scanty and rare menstruation	S00-S	
N94	Pain & cond ass w femle gen org menst		, poisoning and certain other
N95	Menopausal & oth perimenopausal disrd		equences of external causes
000-0		S06	Intracranial injury
	nancy, childbirth and the puerperium	X40->	
004	Medical abortion		ental poisoning by and exposure to
O35	Mat care known or suspect fetal abn	noxio	ous substances
099	Oth mat dis cl/e comp preg brth puerp	X60->	K84 Intentional self harm
Q00-0	Q99	X60*	Intent self poisn anlgsc antipyr antirhm
Cong	enital malformations, deformations	X61*	Intent selfpoison antiep sed-hyp psytrp
and c	hromosomal abnormalities	X62*	Intent selfpoison narc psychdyslpt NEC
Q02	Microcephaly	X63*	Intent selfpoison oth aut nrv sys dr
Q03	Congenital hydrocephalus	X64*	Intent selfpoison oth & unsp dr biol sub
Q04	Other congenital malformations of brain	X65*	Intentional selfpoisoning alcohol
Q05	Spina bifida	X66*	Intent selfpoison orgnc solv hydcarb
Q75	Oth cong malform skull & face bones	X67	Intent selfpoison oth gases & vapours
Q85	Phakomatoses not elsewhere classified	X68*	Intentional selfpoison pesticides
Q86	Cong malform synd dt exog cause NEC	X69*	Intent selfpoison oth unsp chem nox sub
Q90	Downs syndrome	X70	Intent selfharm hanging strangltn suffn
Q91	Edwards syndrome and Pataus syndrome	X71	Intentional selfharm by drowning
Q93	Monosomies deletions from	X72*	Intentional selfharm by handgun disch
	autosomes NEC	X73	Intent self harm by rifle shotgun disch
Q96	Turners syndrome	X74	Intent selfharm oth & unsp firearm
Q97	Oth sex chromsm abn femle	X75*	Intent selfharm by explosive material
	phntype NEC	X76*	Intent selfharm by smoke fire & flames
Q98	Oth sex chromsm abn male	X77*	Intent selfharm steam vapour hot obj
	phenotype NEC	X78	Intentional selfharm by sharp object
Q99	Other chromosome abnormalities NEC	X79*	Intentional selfharm by blunt object
R00-F		X80*	Intent selfharm jump from a high place
	toms, signs and abnormal clinical and	X81*	Intent selfharm - before moving object
	atory findings, not elsewhere classified	X82	Intentional self-harm by crashing of MV
R55	Syncope and collapse	X83*	Intentional selfharm by oth spec means
R56	Convulsions not elsewhere classified	X84*	Intentional selfharm by unsp means
R62	Lack expected normal physiological devt	X25.\	709 Assault
R63	Symptoms concerning food fluid intake	X93	Assault by handgun discharge
R78	Drugs & oth subs not normally in blood	ヘソン	Assault by Hallugull discharge

# ICD-10 AM — International Statistical Classification

Y47

Sed-hyp antianxiety dr adv eff Rx use

Personal history of risk-factors, not

elsewhere classified

A33	Assault by sharp object	147	sed-riyp armanxiety or adv err its use
Y00	Assault by blunt object	Y49	Psychotropic adverse effects Rx use
Y04	Assault by bodily force	Y50	CNS stimulants NEC adv eff Rx use
Y05	Sexual assault by bodily force	Y51	Dr aff aut nervous system adv eff Rx use
Y06	Neglect and abandonment	Y57	Oth & unsp dr medicaments adv eff Rx
Y07	Other maltreatment syndromes	Y85-Y	789
Y40-Y	/50	Seque	elae of external causes of morbidity and
	rse medicaments and biological	morta	ality
	ances causing adverse effects	Y90-Y	′98
	erapeutic use		ementary factors related to causes of
Y46	Antiep antipark drugs adv eff Rx use		idity and mortality classified elsewhere
0.2	Easters Influencing Health S	tatuc	and Contact with
	Factors Influencing Health S	latus	and Contact with
	Health Service		
Z00 –	· Z99	Z63.4	* Disappearance and death of family
Facto	rs influencing health status and contact		member
with	health services	Z64	Problems related to certain psychosocial
Z00	General examination and investigation of		circumstances
	persons without complaint or reported diagnosis	Z65	Problems related to other psychosocial circumstances
Z02	Examination and encounter for	Z70	Counselling rel sex attitude beh orientn
	administrative purposes	Z71	Encntr hlth service oth advice NEC
Z03	Medical observation and evaluation for	Z72	Problems related to lifestyle
	suspected diseases and conditions	Z73	Problems related to life-management
Z04	Examination and observation for other		difficulty
	reasons	Z75	Problems related to medical facilities and
Z50	Care inv use of rehab procedure		other health care
Z54	Convalescence	Z76	Persons encountering health services in
Z55	Problems rel to education and literacy		other circumstances
Z56	Problems related to employment and unemployment	Z81	Family history of mental and behavioural disorders
Z59	Problems related to housing and	Z82	Family history of certain disabilities and
	economic circumstances		chronic diseases leading to disablement
Z60	Problems related to social environment	Z85	Personal history of malignant neoplasm
Z61	Problems related to negative life events	Z86	Personal history of certain other diseases
	in childhood	Z87	Personal history of other diseases and
Z62	Other problems related to upbringing		conditions

Z91

Z63

Other problems related to primary

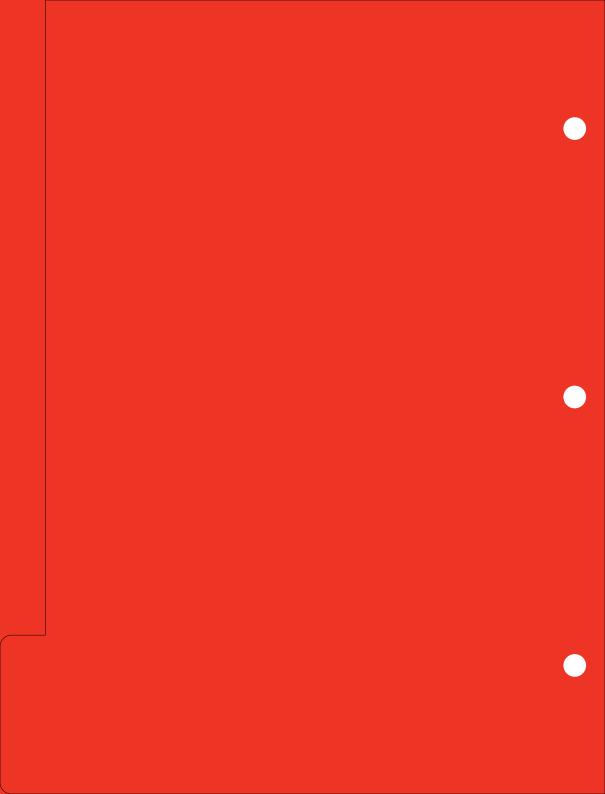
support group, including family

circumstances

X99

Assault by sharp object

# Additional Resource Material



### 10 Additional Resource Material

**AMHOCN** Internet site for information on training resources, materials, reports and other links which may be useful: <a href="http://www.mhnocc.org/">http://www.mhnocc.org/</a>

There is also AMHOCN online training available:

http://www.mhnocc.org/amhocn/Online\_NOCC\_Training/

The Transcultural Mental Health Centre has proudly developed booklets and CDs on wellbeing issues in a number of community languages. The booklets and CDs focus on the importance of obtaining and maintaining mental health and wellbeing, and encourage communities and individuals to reflect on these matters.

The K-10 is available in a number of languages from this site: <a href="http://www.dhi.gov.au/Transcultural-Mental-Health-Centre/Information-for-Consumers-Carers-and-Community/Consumers/Translations/default.aspx">http://www.dhi.gov.au/Transcultural-Mental-Health-Centre/Information-for-Consumers-Carers-and-Community/Consumers/Translations/default.aspx</a>

The SA Mental Health Intranet has many documents and forms relevant to Outcome Measurement, user guides for Mental Health Information systems and a link for the SA Mental Health training calendar:

http://in.health.sa.gov.au/operations/Default.aspx?tabid=784

Additional reference notes:

# Additional Resource Material